



A REPORT
TO THE
ARIZONA LEGISLATURE

Financial Audit Division

Single Audit

State of Arizona

June 30, 2002



Debra K. Davenport
Auditor General

The **Auditor General** is appointed by the Joint Legislative Audit Committee, a bipartisan committee composed of five senators and five representatives. Her mission is to provide independent and impartial information and specific recommendations to improve the operations of state and local government entities. To this end, she provides financial audits and accounting services to the State and political subdivisions, investigates possible misuse of public monies, and conducts performance audits of school districts, state agencies, and the programs they administer.



Copies of the Auditor General's reports are free.
You may request them by contacting us at:

Office of the Auditor General

2910 N. 44th Street, Suite 410 • Phoenix, AZ 85018 • (602) 553-0333

Additionally, many of our reports can be found in electronic format at:

www.auditorgen.state.az.us

(This Page Intentionally Left Blank)

State of Arizona
Single Audit Reporting Package
June 30, 2002

Table of Contents	Page
Comprehensive Annual Financial Report	
Issued separately	
Reports on Compliance and Internal Control	
Report on Compliance and on Internal Control over Financial Reporting Based on an Audit of Basic Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	1
Report on Compliance with Requirements Applicable to Each Major Program and on Internal Control over Compliance in Accordance with OMB Circular A-133	3
Schedule of Expenditures of Federal Awards	6
Schedule of Findings and Questioned Costs	
Summary of Auditors' Results	39
Financial Statement Findings	41
Federal Award Findings and Questioned Costs	42
Appendix	
State of Arizona Agency Codes	47
State Corrective Action Plan	49
State Summary Schedule of Prior Audit Findings	55



**STATE OF ARIZONA
OFFICE OF THE
AUDITOR GENERAL**

DEBRA K. DAVENPORT, CPA
AUDITOR GENERAL

WILLIAM THOMSON
DEPUTY AUDITOR GENERAL

**Independent Auditors' Report on Compliance and on Internal Control over
Financial Reporting Based on an Audit of Basic Financial Statements
Performed in Accordance with *Government Auditing Standards***

The Honorable Janet Napolitano, Governor
State of Arizona

The Honorable Ken Bennett, President
Arizona State Senate

The Honorable Franklin L. "Jake" Flake, Speaker
Arizona House of Representatives

The Honorable Charles E. Jones, Chief Justice
Arizona Supreme Court

We have audited the basic financial statements of the State of Arizona as of and for the year ended June 30, 2002, and have issued our report thereon dated February 11, 2003, which was modified as to consistency because of the implementation of GASB Statement No. 34, *Basic Financial Statements—and Management's Discussion and Analysis—for State and Local Governments* and GASB Statement No. 35, *Basic Financial Statements—and Management's Discussion and Analysis—for Public Colleges and Universities* and due to our reliance on the work of other auditors as described therein. We conducted our audit in accordance with U.S. generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the State's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grants, noncompliance with which could have a direct and material effect on the determination of basic financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests and the work of the other auditors disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*. However, we noted certain immaterial instances of noncompliance that we will report to various state agencies' management in separate letters.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the State's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the basic financial statements and not to provide assurance on internal control over financial reporting. However, we noted one matter involving internal control over financial reporting and its operation that we consider to be a reportable condition. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of internal control over financial reporting that, in our judgment, could adversely affect the State's ability to record, process, summarize, and report financial data consistent with the assertions of management in the basic financial statements. This reportable condition is described in the accompanying Schedule of Findings and Questioned Costs as item 02-01.

A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over financial reporting would not necessarily disclose all such internal control matters that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe that the reportable condition described above is not a material weakness. We also noted other matters involving internal control over financial reporting that we will report to various state agencies' management in separate letters.

This report is intended solely for your information and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record, and its distribution is not limited.

Debbie Davenport
Auditor General

February 11, 2003



**STATE OF ARIZONA
OFFICE OF THE
AUDITOR GENERAL**

DEBRA K. DAVENPORT, CPA
AUDITOR GENERAL

WILLIAM THOMSON
DEPUTY AUDITOR GENERAL

**Independent Auditors' Report on Compliance with Requirements
Applicable to Each Major Program and on Internal Control over Compliance in
Accordance with OMB Circular A-133**

The Honorable Janet Napolitano, Governor
State of Arizona

The Honorable Ken Bennett, President
Arizona State Senate

The Honorable Franklin L. "Jake" Flake, Speaker
Arizona House of Representatives

The Honorable Charles E. Jones, Chief Justice
Arizona Supreme Court

Compliance

We have audited the compliance of the State of Arizona with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2002, except for that portion of the federal programs administered by the Arizona Health Care Cost Containment System, the Arizona Department of Transportation, and the Water Infrastructure Finance Authority. Those departments were audited by other auditors, and our opinion, insofar as it relates to the compliance of those departments with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement*, is based solely on the work of the other auditors. The State's major federal programs are identified in the Summary of Auditors' Results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit and the work of the other auditors.

We conducted our audit of compliance in accordance with U.S. generally accepted auditing standards; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit and the work of the other auditors provide a reasonable basis for our opinion. Our audit does not provide a legal determination on the State's compliance with those requirements.

As described in the following table, the State did not comply with certain compliance requirements that are applicable to the following major federal programs. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to those programs.

Program Title (CFDA No.)	Compliance Requirement	Finding No.
Emergency Food Assistance Program (Administrative Costs) (10.568)	Subrecipient Monitoring	02-106
Emergency Food Assistance Program (Food Commodities) (10.569)	Subrecipient Monitoring	02-106
Special Education—Grants to States (84.027)	Matching, Level of Effort, and Earmarking	02-104
Special Education—Preschool Grants (84.173)	Matching, Level of Effort, and Earmarking	02-104

In our opinion, based on our audit and the work of the other auditors, except for the noncompliance described in the preceding table, the State complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2002. The results of our auditing procedures also disclosed other instances of noncompliance with those requirements that are required to be reported in accordance with OMB Circular A-133 and are described in the accompanying Schedule of Findings and Questioned Costs as items 02-101, 02-102, 02-103, and 02-105.

Internal Control over Compliance

The State's management is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the State's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

We noted certain matters involving internal control over compliance and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of internal control over compliance that, in our judgment, could adversely affect the State's ability to administer a major federal program in accordance with applicable requirements of laws, regulations, contracts, and grants. Those reportable conditions are described in the accompanying Schedule of Findings and Questioned Costs as items 02-101, 02-102, 02-103, 02-104, 02-105, and 02-106.

A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts, and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over compliance would not necessarily disclose all such internal control matters that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, of the reportable conditions described above, we consider items 02-104 and 02-106 to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the State's basic financial statements as of and for the year ended June 30, 2002, and have issued our report thereon dated February 11, 2003, which was modified as to consistency because of the implementation of GASB Statement No. 34, *Basic Financial Statements—and Management's Discussion and Analysis—for State and Local Governments* and GASB Statement No. 35, *Basic Financial Statements—and Management's Discussion and Analysis—for Public Colleges and Universities* and due to our reliance on the work of other auditors as described therein. Our audit was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, based on our audit and the work of the other auditors, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for your information and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record, and its distribution is not limited.

Debbie Davenport
Auditor General

March 14, 2003, except for the
Schedule of Expenditures of Federal
Awards, for which the date is February 11, 2003

State of Arizona
 Schedule of Expenditures of Federal Awards
 Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<u>AGENCY FOR INTERNATIONAL DEVELOPMENT</u>			
02.Unknown	World Learning, <i>Transit-US-Aid</i> , Contract # LTR 10/22/99	ASA	\$ 28,211
	Total Agency for International Development		\$ 28,211
<u>OFFICE OF NATIONAL DRUG CONTROL POLICY</u>			
07.I9PSAP549, I0PSAP549	High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i>	AGA	\$ 643,864
07.I0PSAP549, IIPSAP549	High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i>	PSA	1,302,238
	Total Office of National Drug Control Policy		\$ 1,946,102
<u>PEACE CORPS</u>			
08.001812059, 011812016, 181983149, 181993079	Peace Corps	ASA	\$ 19,296
08.11812008000, 1812056	Peace Corps Recruiting Office	UAA	4,705
	Total Peace Corps		\$ 24,001
<u>DEPARTMENT OF AGRICULTURE</u>			
<u>Food Stamp Cluster</u>			
10.551	Food Stamps	DEA	\$ 356,065,294
10.561	State Administrative Matching Grants for Food Stamp Program	ASA	2,545
10.561	State Administrative Matching Grants for Food Stamp Program	DEA	24,913,537
10.561	State Administrative Matching Grants for Food Stamp Program	HSA	1,808,296
10.561	State Administrative Matching Grants for Food Stamp Program	UAA	132,237
	10.561 Subtotal		26,856,615
	Food Stamp Cluster Subtotal		382,921,909
<u>Child Nutrition Cluster</u>			
10.553	School Breakfast Program	DCA	27,328
10.553	School Breakfast Program	DJA	595,627
10.553	School Breakfast Program	EDA	27,187,212
	10.553 Subtotal		27,810,167
10.555	National School Lunch Program	ASA	16,682
10.555	National School Lunch Program	DCA	48,084
10.555	National School Lunch Program	DJA	931,239
10.555	National School Lunch Program	EDA	129,485,368
10.555	National School Lunch Program	SDA	171,644
	10.555 Subtotal		130,653,017
10.556	Special Milk Program for Children	EDA	176,089
10.559	Summer Food Service Program for Children	EDA	4,080,862
	Child Nutrition Cluster Subtotal		162,720,135
<u>Emergency Food Assistance Cluster</u>			
10.568	Emergency Food Assistance Program (Administrative Costs)	DEA	945,960
10.569	Emergency Food Assistance Program (Food Commodities)	DEA	7,816,163
	Emergency Food Assistance Cluster Subtotal		8,762,123
<u>Other Department of Agriculture Programs</u>			
10.001	Agricultural Research—Basic and Applied Research	UAA	954
10.025	Plant and Animal Disease, Pest Control, and Animal Care	AHA	339,869
10.156	Federal-State Marketing Improvement Program	AHA	12,311
10.162	Inspection Grading and Standardization	AHA	41,946
10.200	Grants for Agricultural Research, Special Research Grants	UAA	76,476
10.200	Grants for Agricultural Research, Special Research Grants, <i>The Research Corporation of the University of Hawaii</i> , Contract # 5980866	UAA	9,830
	10.200 Subtotal		86,306
10.217	Higher Education Challenge Grants	ASA	24,125
10.217	Higher Education Challenge Grants	UAA	64,249
	10.217 Subtotal		88,374
10.220	Higher Education Multicultural Scholars Program	NAA	22,500
10.224	Fund for Rural America—Research, Education, and Extension Activities	ASA	13,705
10.435	State Mediation Grants	ASA	111,880
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection	AHA	297,522
10.500	Cooperative Extension Service	UAA	3,347,288
10.500	Cooperative Extension Service, <i>Auburn University</i> , Contract # ACESASATPT2	UAA	113,344

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
10.500	Cooperative Extension Service, <i>New Mexico State University</i> , Contract # NMSUB10	UAA	3,780
10.500	Cooperative Extension Service, Utah State University, Contract #s C020353, C025192	UAA	12,994
10.500	Cooperative Extension Service, <i>Washington State University</i> , Contract # G001175	UAA	23,534
	10.500 Subtotal		3,500,940
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children	HSA	107,128,885
10.558	Child and Adult Care Food Program	EDA	40,368,931
10.560	State Administrative Expenses for Child Nutrition	EDA	3,516,054
10.565	Commodity Supplemental Food Program	HSA	5,352,513
10.570	Nutrition Services Initiative	DEA	1,715,826
10.574	Team Nutrition Grants	EDA	200,646
10.652	Forestry Research	ASA	671
10.652	Forestry Research	GFA	68,120
	10.652 Subtotal		68,791
10.664	Cooperative Forestry Assistance	ASA	1,473
10.664	Cooperative Forestry Assistance	LDA	1,712,686
10.664	Cooperative Forestry Assistance	UAA	23,568
	10.664 Subtotal		1,737,727
10.769	Rural Business Enterprise Grants	EPA	248,194
10.771	Rural Cooperative Development Grants	ASA	47,781
10.950	Agricultural Statistics Reports	ASA	3,489
10.962	International Training—Foreign Participant	ASA	18,345
10.01JV1122165288	U.S. Forest Service	GSA	15,538
10.01DG11030777023	Parks/Bellmont/Sherwood Forest Wildfire Risk Reduction and Economic Action Program	UAA	7,975
10.Unknown	Specialty Crops—Basic State Grants	AHA	2,685,352
10.Unknown	4-H Centennial Conversation Initiative, <i>National 4H Council</i> , Contract # CK210181	UAA	5,023
10.Unknown	Conduct Good Agriculture Practices (GAPS) Workshops, <i>Cornell University</i> , Contract # 99415600821	UAA	13,407
10.Unknown	Graywater-Water Harvest Workshops	UAA	606
10.Unknown	Licensure In Education for Agricultural Professionals (LEAP) Support from America, <i>North Carolina State University</i> , Contract # 2001063703	UAA	5,000
10.Unknown	USDA 2000 Team Nutrition Training Grant	UAA	107,122
	Total Department of Agriculture		\$ 722,167,679
DEPARTMENT OF COMMERCE			
11.112	Export Promotion Market Development Cooperation	ASA	\$ 33,564
11.112	Export Promotion Market Development Cooperation	EPA	91,631
	11.112 Subtotal		125,195
11.302	Economic Development—Support for Planning Organizations	EPA	61,066
11.455	Cooperative Science and Education Program	UAA	28,821
11.552	Technology Opportunities, <i>Pima Community College</i> , Contract # SUB005	UAA	63,148
11.50SPNA100048	National Weather Service	ASA	7,774
11.A8006805	Mathematics, Engineering, Science Achievement, <i>Arizona Science Center</i>	ASA	4,748
11.Unknown	Department of Commerce, Letter	NAA	3,917
11.Unknown	Department of Commerce, Agreement No. L04-01-99087	NAA	64,328
11.Unknown	National Ocean Atmospheric Administration, <i>Arizona-Mexico Commission</i>	EVA	14,926
	Total Department of Commerce		\$ 373,923
DEPARTMENT OF DEFENSE			
12.106	Flood Control Projects	MAA	\$ 123,210
12.110	Planning Assistance to States	GFA	145,185
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services	EVA	464,782
12.300	Basic and Applied Scientific Research	ASA	9,417
12.300	Basic and Applied Scientific Research	UAA	6,000
	12.300 Subtotal		15,417
12.400	Military Construction, National Guard	MAA	141,342
12.401	National Guard Military Operations and Maintenance (O&M) Projects	ASA	15,086
12.401	National Guard Military Operations and Maintenance (O&M) Projects	MAA	20,446,685
	12.401 Subtotal		20,461,771

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
12.420	Military Medical Research and Development, <i>University of Minnesota</i> , Contract # R6636360302	UAA	52,010
12.431	Basic Scientific Research	ASA	5,924
12.800	Air Force Defense Research Sciences Program	GFA	170,196
12.800	Air Force Defense Research Sciences Program	UAA	6,229
	12.800 Subtotal		176,425
12.910	Research and Technology Development	ASA	133,621
12.PO Y702359-001	Workshop on Leadership at a Distance	ASA	16,453
12.DABT63-98-D-0002	Business Enterprise Program/FT Huachuca	DEA	1,629,089
12.KR94-2604ALS	Troops to Teachers	EDA	105,567
12.DAHA2 00 2 3003, DAHA2 98 3003	Camp Navajo	MAA	9,937,738
12.DASC0199P0043	Web-Based Distance Learning Program in the Serbo-Croatian Language	UAA	748
12.USZA9299D0005, USZA9201M0226	BMLC Course Redesign-Spanish and French	UAA	810,504
12.RODMER078036	SWBSADIS Terminal Deployment	PSA	196,266
12.Unknown	Department of Defense	NAA	919
12.Unknown	Expanding National Capacity to Author and Produce Courseware in the Less Common Languages, <i>National Association of Self-Instructional Language Programs</i> , Contract # DASW010010003	UAA	29,625
12.Unknown	Support Services for U.S. Army's Mt. Lemmon Radar Facility, <i>NEWTEC</i> , Contract # M001048	UAA	19,423
12.Unknown	Uniform Commutation Fund	UAA	64,711
	Total Department of Defense		\$ 34,530,730
<u>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</u>			
14.195	Section 8 Housing Assistance Payments Program—Special Allocations	EPA	\$ 46,431
14.195	Section 8 Housing Assistance Payments Program—Special Allocations	HDA	11,742,691
	14.195 Subtotal		11,789,122
14.228	Community Development Block Grants/State's Program	EPA	79,374
14.228	Community Development Block Grants/State's Program	HDA	12,801,734
	14.228 Subtotal		12,881,108
14.231	Emergency Shelter Grants Program	DEA	684,413
14.235	Supportive Housing Program	HDA	2,040,448
14.238	Shelter Plus Care	HDA	4,463,553
14.239	HOME Investment Partnerships Program	EPA	304,757
14.239	HOME Investment Partnerships Program	HDA	3,488,987
	14.239 Subtotal		3,793,744
14.241	Housing Opportunities for Persons with AIDS	EPA	3,386
14.241	Housing Opportunities for Persons with AIDS	HDA	445,169
	14.241 Subtotal		448,555
14.246	Community Development Block Grants/Economic Development Initiative	ASA	417,204
14.401	Fair Housing Assistance Program—State and Local	AGA	272,628
14.871	Section 8 Housing Choice Vouchers	HDA	268,497
14.CDWS-AZ-01-047	Department of Housing and Urban Development	NAA	31,467
14.CH-ARIZ-49	Department of Housing and Urban Development	NAA	47,582
14.Unknown	South Park Hope VI Revitalization Project, <i>City of Tucson</i> , Contract # 43601	UAA	14,861
	Total Department of Housing and Urban Development		\$ 37,153,182
<u>DEPARTMENT OF THE INTERIOR</u>			
<u>Fish and Wildlife Cluster</u>			
15.605	Sport Fish Restoration	GFA	\$ 4,888,299
15.611	Wildlife Restoration	GFA	4,853,405
	Fish and Wildlife Cluster Subtotal		9,741,704
<u>Other Department of the Interior Programs</u>			
15.059	Indian Graduate Student Scholarships	ASA	184,328
15.130	Indian Education—Assistance to Schools	EDA	212,397
15.219	Wildlife Habitat Management Technical Assistance	GFA	177,385
15.222	Cooperative Inspection Agreements with States and Tribes	EPA	12,500
15.222	Cooperative Inspection Agreements with States and Tribes	MIA	37,945
	15.222 Subtotal		50,445

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
15.225	Recreation Resource Management	ASA	8,467
15.227	Distribution of Receipts to State and Local Governments	DTA	46,907
15.228	Wildland Urban Interface Community and Rural Fire Assistance	LDA	365,965
15.504	Water Reclamation and Reuse Program	MAA	57,796
15.612	Endangered Species Conservation	GFA	824,886
15.615	Cooperative Endangered Species Conservation Fund	GFA	263,079
15.617	Wildlife Conservation and Appreciation	GFA	102,882
15.618	Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration	GFA	6,152
15.625	Wildlife Conservation and Restoration	GFA	118,434
15.808	U.S. Geological Survey—Research and Data Acquisition	GFA	162,965
15.808	U.S. Geological Survey—Research and Data Acquisition	GSA	31,539
15.808	U.S. Geological Survey—Research and Data Acquisition	LDA	6,249
15.808	U.S. Geological Survey—Research and Data Acquisition	NAA	5,000
	15.808 Subtotal		205,753
15.810	National Cooperative Geologic Mapping Program	ASA	7,733
15.904	Historic Preservation Fund Grants-In-Aid	PRA	759,263
15.916	Outdoor Recreation—Acquisition, Development and Planning	PRA	332,397
15.922	Native American Graves Protection and Repatriation Act	ASA	31,434
15.922	Native American Graves Protection and Repatriation Act	UAA	4,140
	15.922 Subtotal		35,574
15.01FG320110	Bureau of Reclamation	ASA	60,877
15.507-30-W0330	Bureau of Reclamation Restricted Endowment	ASA	5,999,802
15.PON005002017	Training and Technical Assistance—Indian Tribal Governments	ASA	6,188
15.PON005002115	Training and Technical Assistance—Indian Tribal Governments	ASA	33,939
15.Unknown	Bureau of Reclamation	ASA	2,651
15.00-FG-32-0070	Bald Eagle Surveys	GFA	47,056
15.01-FG-32-0070	Southwestern Willow Flycatcher Study	GFA	3,813
15.98-FC-32-0050	Southwestern Willow Flycatcher Study	GFA	632,527
15.00-GF-34-0009	Razorback Sucker Flathead	GFA	23,214
15.7-FC-CU-AZ010	Kanab Ambersnail Refugia Population	GFA	40,798
15.99-FC-32-0200	Review of Sampling Design and Assumptions of the Long-Term Fish Monitoring Plan	GFA	4,120
15.99-FC-32-0070	Fish Monitoring Salt & Gila Rivers	GFA	11,630
15.99-FG-34-0015	Hunter's Hole	GFA	8,460
15.99-FG-32-0030	Information and Education for Conservation of Native Fishes	GFA	24,847
15.00-FC-32-0160	Limnological Fisheries/Lake Pleasant	GFA	114,302
15.1-07-34-C0165	Mumme Farm Maintenance	GFA	14,949
15.99-FG-34-0005	Lower Colorado Fish Project	GFA	71,448
15.1425-98-FC-40-22690	Monitor Rainbow Trout Fishery	GFA	166,313
15.98-FG-32-0240	Native/Non-Native Fish Interactions	GFA	351
15.99-FG-32-0080	Top Minnow Project	GFA	27,556
15.02-FG-32-0080	Mapping & Monitoring Southwestern Willow Fly Catcher	GFA	14,382
15.02-FC-32-0100	Propagation Techniques Loach Minnow	GFA	18,887
15.SBK0E0140004	Bureau of Indian Affairs	NAA	3,125
15.PAA-01-7002	Bureau of Land Management	NAA	1,126,676
15.01PG321021	Bureau of Reclamation	NAA	2,439
15.Unknown	National Park Service, Contract #s 1200-99-009 (NAU 10, NAU 40, NAU 56)	NAA	178,679
15.Unknown	Bilingual Advantage, <i>Seba Dalkai School</i>	NAA	103,759
15.Unknown	Indian Children Program, <i>University of Utah</i> , Contract # 282-96-007	NAA	184,222
15.Unknown	Nale Literacy Project, <i>Chinle Agency</i> , Letter	NAA	79,523
15.1425-97-FG-34-00011	USDOI/BOR Yuma Crossing Interpretive Ramada	PRA	25,545
15.01-FG-32-0100	USDOI/BOR Site Steward Support FFY01	PRA	5,000
15.00-FG-32-0150	USDOI BOR Site Steward Support FY00	PRA	5,000
15.00FG300041	National Project Water Education for Teachers (WET) Training for Lower Colorado	UAA	4,298
15.0499GP216	Tribal Workshop on Contaminated Cultural Materials In Museum Collections	UAA	1,005
15.99FG340014	Development of Mobile Water Conservation Kiosks and Displays	UAA	3,301
15.AAA000004	Upper San Pedro Watershed Ecological History	UAA	22,267
15.CA124800002	Cesu Operations/Security Systems	UAA	69
15.99FC320140	Arizona Flood Warning System	WCA	149,217
15.Unknown	Casa Del Agua, Public Outreach and Water Wisdom Program at Tucson AMA Schools	UAA	4,553
15.Unknown	Fire Ecology Workshops for PLT, <i>Project Learning Tree</i> , Contract # CK023935	UAA	4,643
	Total Department of the Interior		\$ 22,732,978

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<u>DEPARTMENT OF JUSTICE</u>			
16.007	State Domestic Preparedness Equipment Support Program	MAA	\$ 434,072
16.007	State Domestic Preparedness Equipment Support Program	PSA	326,995
	16.007 Subtotal		<u>761,067</u>
16.523	Juvenile Accountability Incentive Block Grants	ASA	18,490
16.523	Juvenile Accountability Incentive Block Grants	DJA	194,601
16.523	Juvenile Accountability Incentive Block Grants	GVA	4,278,659
16.523	Juvenile Accountability Incentive Block Grants	HDA	7,937
16.523	Juvenile Accountability Incentive Block Grants	HSA	78,262
16.523	Juvenile Accountability Incentive Block Grants	PSA	70,402
16.523	Juvenile Accountability Incentive Block Grants	SPA	347,422
	16.523 Subtotal		<u>4,995,773</u>
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	GVA	1,184,310
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	HDA	20,317
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	SPA	20,126
	16.540 Subtotal		<u>1,224,753</u>
16.547	Victims of Child Abuse, <i>National CASA</i>	SPA	44,702
16.548	Title V—Delinquency Prevention Program	GVA	690,862
16.549	Part E— State Challenge Activities	GVA	32,055
16.550	State Justice Statistics Program for Statistical Analysis Centers	JCA	42,842
16.554	National Criminal History Improvement Program (NCHIP)	JCA	685,280
16.554	National Criminal History Improvement Program (NCHIP)	PSA	557,752
16.554	National Criminal History Improvement Program (NCHIP)	SPA	220,543
	16.554 Subtotal		<u>1,463,575</u>
16.560	National Institute of Justice Research, Evaluation and Development Project Grants	DCA	24,630
16.564	Crime Laboratory Improvement—Combined Offender DNA Index System Backlog Reduction	PSA	357,095
16.575	Crime Victim Assistance	AGA	104,148
16.575	Crime Victim Assistance	ASA	37,537
16.575	Crime Victim Assistance	DJA	47,800
16.575	Crime Victim Assistance	PSA	5,402,792
	16.575 Subtotal		<u>5,592,277</u>
16.576	Crime Victim Compensation	JCA	489,843
16.579	Byrne Formula Grant Program	AGA	951,133
16.579	Byrne Formula Grant Program	DCA	73,265
16.579	Byrne Formula Grant Program	JCA	7,283,325
16.579	Byrne Formula Grant Program	PSA	16,147
16.579	Byrne Formula Grant Program, <i>City of Tucson</i> , Contract # 26690	UAA	129,168
	16.579 Subtotal		<u>8,453,038</u>
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	AGA	65,612
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	JCA	3,198
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	PSA	53,109
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	SPA	121,615
	16.580 Subtotal		<u>243,534</u>
16.582	Crime Victim Assistance/Discretionary Grants	AGA	6,500
16.588	Violence Against Women Formula Grants	AGA	5,000
16.588	Violence Against Women Formula Grants	GVA	2,184,692
16.588	Violence Against Women Formula Grants	SPA	96,681
16.588	Violence Against Women Formula Grants	UAA	28,321
	16.588 Subtotal		<u>2,314,694</u>
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders	SPA	186,922
16.592	Local Law Enforcement Block Grants Program	JCA	228,775
16.593	Residential Substance Abuse Treatment for State Prisoners	DCA	75,514
16.593	Residential Substance Abuse Treatment for State Prisoners	DJA	928,989
16.593	Residential Substance Abuse Treatment for State Prisoners	JCA	274,032
	16.593 Subtotal		<u>1,278,535</u>
16.595	Executive Office for Weed and Seed, <i>City of Tucson</i> , Contract #s CK722955, 32201	UAA	11,821
16.601	Corrections—Training and Staff Development	ASA	719
16.602	Corrections—Research and Evaluation and Policy Formulation	DCA	33,291
16.606	State Criminal Alien Assistance Program	DCA	34,384,598

State of Arizona
 Schedule of Expenditures of Federal Awards
 Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
16.607	Bulletproof Vest Partnership Program	DCA	74,947
16.607	Bulletproof Vest Partnership Program	PRA	4,691
16.607	Bulletproof Vest Partnership Program	PSA	32,078
	16.607 Subtotal		111,716
16.610	Regional Information Sharing Systems	PSA	3,901,262
16.710	Public Safety Partnership and Community Policing Grants	ASA	209,875
16.710	Public Safety Partnership and Community Policing Grants	DCA	132,605
16.710	Public Safety Partnership and Community Policing Grants	PSA	643,024
	16.710 Subtotal		985,504
16.726	Juvenile Mentoring Program, <i>Arizona Cactus Pine Girl Scout Council, Contract # 1999JGFX0001</i>	UAA	35,859
16.727	Enforcing Underage Drinking Laws Program	ASA	6,228
16.727	Enforcing Underage Drinking Laws Program	LLA	15,386
16.727	Enforcing Underage Drinking Laws Program	PSA	494,384
	16.727 Subtotal		515,998
16.733	National Incident Based Reporting System	JCA	32,280
16.1999RSCX0004	Information Technology Support 2000	PSA	18,078
16.DPS2001 199	U.S. Customs High Intensity Drug Trafficking Area Task Force	PSA	632
16.SWAZT204	FBI Organized Crime NACO Tunnel Task Force	PSA	3,471
16.DPS2001-05	FBI Operation Snake Eyes	PSA	22,374
16.DPS2000-196	FBI Operation Mountain Eagle Safe Streets Task Force	PSA	8,012
16.DPS99-326	FBI Violent Street Gang Task Force	PSA	6,065
16.DPS99-433	DEA Tucson Task Force	PSA	10,273
16.DEA2000-08	DEA Marijuana Eradication in Northern Arizona	PSA	29,815
16.DPS2001-189	DEA Pipeline Task Force	PSA	22,338
16.DPS2002-003	Glen Canyon Dam Security	PSA	22,554
16.1999WAVX0004	Project to Combat Violent Crimes Against Women on Campus	UAA	211,300
16.Unknown	RICO Funds	AGA	230,985
16.Unknown	RICO Funds	PSA	430,036
	RICO Funds Subtotal		661,021
16.Unknown	Cochise County Arizona Domestic Violence Partnership, <i>Cochise County Adult Probation, Contract # 4202</i>	UAA	240
	Total Department of Justice		\$ 69,460,693
DEPARTMENT OF LABOR			
Employment Services Cluster			
17.207	Employment Service	AFA	\$ 51,892
17.207	Employment Service	ASA	26,316
17.207	Employment Service	DEA	13,801,174
17.207	Employment Service	DFA	53,555
17.207	Employment Service	GVA	1,191,030
	17.207 Subtotal		15,123,967
17.801	Disabled Veterans' Outreach Program	DEA	1,164,916
17.804	Local Veterans' Employment Representative Program	DEA	827,179
	Employment Services Cluster Subtotal		17,116,062
WIA Cluster			
17.258	WIA Adult Program	DEA	20,686,159
17.258	WIA Adult Program	EDA	130,297
17.258	WIA Adult Program	EPA	129,752
17.258	WIA Adult Program, <i>Pima County, Contract # 0139U1289890701</i>	UAA	130,281
	17.258 Subtotal		21,076,489
17.259	WIA Youth Activities	DEA	14,887,014
17.259	WIA Youth Activities	EDA	355,355
17.259	WIA Youth Activities	EPA	106,397
	17.259 Subtotal		15,348,766
17.260	WIA Dislocated Workers	DEA	9,355,011
17.260	WIA Dislocated Workers	EDA	106,607
17.260	WIA Dislocated Workers	EPA	23,355
	17.260 Subtotal		9,484,973
	WIA Cluster Subtotal		45,910,228

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<u>Other Department of Labor Programs</u>			
17.002	Labor Force Statistics	DEA	1,074,141
17.005	Compensation and Working Conditions	ICA	77,765
17.203	Labor Certification for Alien Workers	DEA	296,827
17.225	Unemployment Insurance	DEA	442,382,781
17.235	Senior Community Service Employment Program, <i>National Council on Aging</i>	DEA	2,730,862
17.245	Trade Adjustment Assistance—Workers	DEA	2,837,057
17.245	Trade Adjustment Assistance—Workers	EDA	1,972,169
	17.245 Subtotal		4,809,226
17.249	Employment Services and Job Training Pilots—Demonstrations and Research	EPA	1,818,325
17.249	Employment Services and Job Training Pilots—Demonstrations and Research	NAA	137,034
17.249	Employment Services and Job Training Pilots—Demonstrations and Research, <i>Pima County</i>	SDA	86,875
	17.249 Subtotal		2,042,234
17.250	Job Training Partnership Act, <i>Yuma Private Industry Council</i>	ASA	1,254
17.253	Welfare-to-Work Grants to States and Localities	DEA	787,039
17.503	Occupational Safety and Health—State Program	ICA	1,416,036
17.504	Consultation Agreements	ICA	661,510
17.600	Mine Health and Safety Grants	MIA	355,884
17.00-181-2055	Peace Corps Coordinator NAU, <i>Peace Corp Fellow Program</i> , Contract # 00-181-2055	NAA	15,380
17.Unknown	Tucson Urban Gardens, <i>Pima County</i> , Contract # CK22406715	UAA	90,271
	Total Department of Labor		\$ 519,767,500
<u>DEPARTMENT OF STATE</u>			
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>American Council of Learned Societies</i>	ASA	\$ 16,294
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>Social Science Research Council</i>	ASA	12,266
	19.300 Subtotal		28,560
19.418	Educational Exchange—Fulbright American Studies Institutes, <i>Council of International Exchange Scholars</i>	ASA	9,653
19.424	Educational Partnerships Program	ASA	210,839
	Total Department of State		\$ 249,052
<u>DEPARTMENT OF TRANSPORTATION</u>			
<u>Highway Safety Cluster</u>			
20.600	State and Community Highway Safety	DTA	\$ 388,590
20.600	State and Community Highway Safety	PSA	4,146,307
	20.600 Subtotal		4,534,897
20.603	Federal Highway Safety Data Improvements Incentive Grants	ASA	5,050
20.605	Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons	PSA	307,802
	Highway Safety Cluster Subtotal		4,847,749
<u>Other Department of Transportation Programs</u>			
20.005	Boating Safety Financial Assistance	GFA	913,196
20.205	Highway Planning and Construction	ADA	129,162
20.205	Highway Planning and Construction	DTA	515,841,129
20.205	Highway Planning and Construction	PRA	216,579
20.205	Highway Planning and Construction	PSA	156,136
20.205	Highway Planning and Construction, <i>Maricopa Association of Governments (MAG)</i>	ASA	7,806
	20.205 Subtotal		516,350,812
20.215	Highway Training and Education, <i>American Society of Civil Engineers</i>	ASA	798
20.215	Highway Training and Education, <i>Arizona Department of Transportation</i>	ASA	10,174
20.215	Highway Training and Education, <i>South Carolina State University</i>	ASA	32,793
	20.215 Subtotal		43,765
20.217	Motor Carrier Safety	DTA	282,009
20.218	National Motor Carrier Safety	PSA	2,285,899
20.219	Recreational Trails Program	DTA	210,366
20.308	Local Rail Freight Assistance	DTA	3,461
20.505	Federal Transit—Metropolitan Planning Grants	DTA	1,515,957
20.509	Formula Grants for Other Than Urbanized Areas	DTA	3,050,618
20.513	Capital Assistance Program for Elderly Persons and Persons with Disabilities	DTA	696,950

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
20.700	Pipeline Safety	CCA	608,265
20.702	University Research Institutes Program	ASA	2,467
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants	MAA	200,692
20.TEA 900-0(191)P	AZSite Database	PRA	77,608
Total Department of Transportation			\$ 531,089,814
<u>EQUAL EMPLOYMENT OPPORTUNITY COMMISSION</u>			
30.001	Employment Discrimination—Title VII of the Civil Rights Act of 1964	AGA	\$ 245,071
Total Equal Employment Opportunity Commission			\$ 245,071
<u>GENERAL SERVICES ADMINISTRATION</u>			
39.003	Donation of Federal Surplus Personal Property	ADA	\$ 181,118
39.GS00P95BQG001	Expansion of University of Arizona's Special Collections & Archives	UAA	\$1,415,696
Total General Services Administration			\$ 1,596,814
<u>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</u>			
43.001	Aerospace Education Services Program	ASA	\$ 222,100
43.NAG58157	Space Grant: Geospatial Info Technical Fellowship	UAA	123,784
43.NAS5-26555	Project Mars: Mars Activities and Resources for Students, <i>Space Telescope Science Institute</i> , Contract # HSTED9017701A	UAA	505
43.Unknown	Data-Driven Web Tools for Teaching Introductory Astronomy, <i>Space Telescope Science Institute</i> , Contract # HSTEO0913704A	UAA	23,781
Total National Aeronautics and Space Administration			\$ 370,170
<u>NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES</u>			
45.024	Promotion of the Arts—Grants to Organizations and Individuals	ASA	\$ 47,059
45.024	Promotion of the Arts—Grants to Organizations and Individuals	HUA	20,000
45.024	Promotion of the Arts—Grants to Organizations and Individuals	UAA	64,619
45.024	Promotion of the Arts—Grants to Organizations and Individuals, <i>New England Foundation for the Arts</i>	ASA	14,500
45.024	Promotion of the Arts—Grants to Organizations and Individuals, <i>Western States Art Federation</i>	ASA	1,875
45.024 Subtotal			148,053
45.025	Promotion of the Arts—Partnership Agreements	ASA	3,384
45.025	Promotion of the Arts—Partnership Agreements	HUA	626,287
45.025 Subtotal			629,671
45.026	Promotion of the Arts—Leadership Initiatives	HUA	12,300
45.027	Promotion of the Arts—Challenge America Grants	ASA	10,000
45.129	Promotion of the Humanities—Federal/State Partnership	PRA	35,321
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i>	ASA	7,455
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , Contract #s P03-2852-1999, P82-2744-2297, P72-2723-2276	PRA	1,786
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , Contract #s GG5433922001, GG7934422001, GG3831372000, GG2035482002	UAA	8,646
45.129 Subtotal			53,208
45.130	Promotion of the Humanities—Challenge Grants	ASA	12,239
45.162	Promotion of the Humanities—Education Development and Demonstration	ASA	41,095
45.163	Promotion of the Humanities—Seminars and Institutes	ASA	119,410
45.163	Promotion of the Humanities—Seminars and Institutes	NAA	25,252
45.163 Subtotal			144,662
45.301	Institute of Museum and Library Services	PRA	3,720
45.301	Institute of Museum and Library Services	UAA	54,357
45.301 Subtotal			58,077
45.310	State Library Program	ASA	5,000
45.310	State Library Program	LAA	2,267,570
45.310	State Library Program	UAA	90,166
45.310	State Library Program, <i>Arizona Jewish Historical Society</i>	ASA	668
45.310 Subtotal			2,363,404

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
45.312	Institute of Museum and Library Services—National Leadership Grants	LAA	204,451
45.Unknown	Southeast Asian Studies Traveling Suitcases, <i>Arizona Humanities Council</i>	ASA	1,187
45.Unknown	Spring 2002 Meet The Author Lecture Series, <i>Arizona Humanities Council</i>	ASA	1,516
45.ND0002400	The Ages Project: A Binational Effort to Preserve and Share the Historical Archives of Sonora, Mexico	UAA	107,449
45.ND1004301	The Arizona Electronic Atlas	UAA	27,372
45.Unknown	NEA Challenge Permanent Endowment	UAA	60,425
45.Unknown	Creative Photo Term Endowment	UAA	40,465
45.Unknown	Knowledge River Institute: Professional Education for Hispanic and Native Americans	UAA	82,118
45.Unknown	Ballet Hispanico/Ojos Del Alma/Eyes of The Soul, <i>New England Foundation for the Arts</i> , Contract # FY02128	UAA	4,950
45.Unknown	Community Engagement Project	UAA	12,500
45.Unknown	Fourth Annual Digital Arts Symposium	UAA	2,700
45.Unknown	Houston Ballet's "Firebird", <i>New England Foundation for the Arts</i> , Contract # FY02132	UAA	10,000
45.Unknown	Lasting Impressions	UAA	2,626
45.Unknown	Nrityagram Dance Ensemble/Sivritri, <i>New England Foundation for the Arts</i> , Contract # FY02045	UAA	5,750
45.Unknown	Royal University of Phnom Penh/Dance, The Spirit of Cambodia, <i>New England Foundation for the Arts</i> , Contract # FY02056	UAA	3,570
45.Unknown	Visiting Poets And Writers Reading Series	UAA	4,500
45.Unknown	Writer's Journeys: Real & Imagined	UAA	250
Total National Foundation on the Arts and the Humanities			\$ 4,044,538
<u>NATIONAL SCIENCE FOUNDATION</u>			
47.041	Engineering Grants	ASA	\$ 48,261
47.041	Engineering Grants	NAA	85,505
47.041	Engineering Grants	UAA	20,535
47.041	Engineering Grants, <i>Texas Engineer Experiment Station</i>	ASA	274,675
47.041 Subtotal			428,976
47.049	Mathematical and Physical Sciences	ASA	314,221
47.049	Mathematical and Physical Sciences	UAA	6,250
47.049	Mathematical and Physical Sciences, <i>American Mathematical Society</i>	ASA	7,908
47.049 Subtotal			328,379
47.050	Geosciences	ASA	32,332
47.050	Geosciences	UAA	7,519
47.050 Subtotal			39,851
47.070	Computer and Information Science and Engineering	ASA	182,718
47.073	Science and Technology Centers	UAA	236,482
47.074	Biological Sciences	NAA	110,153
47.074	Biological Sciences	UAA	994
47.074 Subtotal			111,147
47.075	Social, Behavioral, and Economic Sciences	ASA	183,612
47.075	Social, Behavioral, and Economic Sciences	UAA	1,912
47.075 Subtotal			185,524
47.076	Education and Human Resources	ASA	3,557,296
47.076	Education and Human Resources	NAA	196,304
47.076	Education and Human Resources	SDA	2,383
47.076	Education and Human Resources	UAA	2,868,218
47.076	Education and Human Resources, <i>New Mexico Highlands University</i>	ASA	2,087
47.076	Education and Human Resources, <i>Montana State University</i> , Contract # GC02102Z0636	UAA	49,150
47.076	Education and Human Resources, Western Alliance to Expand Student Opportunities, Contract #s KMD52761131S02UR002, KMD52761131S02UR003, KMD52761131S02UR024	UAA	1,927
47.076 Subtotal			6,677,365
47.Unknown	Ed Innovation Simulation Ed, <i>University of Utah</i> , Contract # 9905046	NAA	13,447
47.Unknown	Molecular Oxygen In Organic Synthesis	UAA	378
47.Unknown	Physics Teacher Education Coalition (PhysTEC), <i>American Physical Society</i> , Contract # CK096771	UAA	36,332
Total National Science Foundation			\$ 8,240,599
<u>DEPARTMENT OF VETERANS AFFAIRS</u>			
64.124	All-Volunteer Force Educational Assistance	VSA	\$ 311,500
64.P0626D31851	Disbursement Agreement for Residents	UAA	3,168,145
64.Unknown	VA Headcount	UAA	5,262
Total Department of Veterans Affairs			\$ 3,484,907

State of Arizona
 Schedule of Expenditures of Federal Awards
 Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<u>ENVIRONMENTAL PROTECTION AGENCY</u>			
66.001	Air Pollution Control Program Support	AEA	\$ 527
66.001	Air Pollution Control Program Support	EVA	2,771,082
	66.001 Subtotal		<u>2,771,609</u>
66.032	State Indoor Radon Grants	AEA	47,158
66.419	Water Pollution Control—State and Interstate Program Support	EVA	98,641
66.454	Water Quality Management Planning	EVA	109,688
66.458	Capitalization Grants for State Revolving Funds	WFA	683,426
66.460	Nonpoint Source Implementation Grants	EVA	2,353,367
66.461	Wetlands Grants	EVA	62,279
66.463	Water Quality Cooperative Agreements	EVA	229,278
66.463	Water Quality Cooperative Agreements	NAA	53,731
	66.463 Subtotal		<u>283,009</u>
66.468	Capitalization Grants for Drinking Water State Revolving Fund	WFA	1,358,803
66.470	Hardship Grants Program for Rural Communities	WFA	3,713
66.500	Environmental Protection Consolidated Research	ASA	34,403
66.605	Performance Partnership Grants	EVA	3,429,485
66.606	Surveys, Studies, Investigations and Special Purpose Grants	EVA	514,540
66.606	Surveys, Studies, Investigations and Special Purpose Grants	NAA	80,369
66.606	Surveys, Studies, Investigations and Special Purpose Grants	UAA	16,029
	66.606 Subtotal		<u>610,938</u>
66.607	Training and Fellowships for the Environmental Protection Agency	AGA	259,518
66.607	Training and Fellowships for the Environmental Protection Agency	NAA	1,951,804
66.607	Training and Fellowships for the Environmental Protection Agency	UAA	11,929
	66.607 Subtotal		<u>2,223,251</u>
66.608	State Information Grants	EVA	18,769
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	AHA	300,672
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	SBA	96,406
	66.700 Subtotal		<u>397,078</u>
66.701	Toxic Substances Compliance Monitoring Cooperative Agreements	MAA	3,851
66.708	Pollution Prevention Grants Program	EVA	60,811
66.709	Capacity Building Grants and Cooperative Agreements for States and Tribes	EVA	60,000
66.801	Hazardous Waste Management State Program Support	EVA	1,361,356
66.802	Superfund State, Political Subdivision, and Indian Tribe Site—Specific Cooperative Agreements	EVA	1,265,247
66.804	State and Tribal Underground Storage Tanks Program	EVA	204,713
66.805	Leaking Underground Storage Tank—Trust Fund	EVA	1,629,106
66.808	Solid Waste Management Assistance	EVA	11,546
66.810	CEPP Technical Assistance Grants Program	MAA	14,791
66.950	Environmental Education and Training Program	ASA	2,419
66.Unknown	Environmental Protection Programs, Contract #s T-82961801-01, T82911201-0, X-82911201-0, U-91591901-0, T980422-01-0, X-98846901-1, X-82811501-0, NE-9899001-0, X1-98062001-0, X-98954201-0, X-82850901-0	NAA	455,549
	Total Environmental Protection Agency		<u>\$ 19,555,006</u>
<u>NUCLEAR REGULATORY COMMISSION</u>			
77.NRC-32-83-681	Radiation Monitoring Program	AEA	\$ 23,296
	Total Nuclear Regulatory Commission		<u>\$ 23,296</u>
<u>DEPARTMENT OF ENERGY</u>			
81.041	State Energy Program	EPA	\$ 521,025
81.042	Weatherization Assistance for Low-Income Persons	EPA	786,679
81.086	Conservation Research and Development	ASA	22,127
81.086	Conservation Research and Development	EPA	119,095
81.086	Conservation Research and Development, <i>University City Science Center</i>	ASA	268,484
	81.086 Subtotal		<u>409,706</u>
81.087	Renewable Energy Research and Development	EPA	8,019
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions	AEA	133,559
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	EPA	6,908
81.119	State Energy Program Special Projects	EPA	218,851

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
81.DE FG07 97ID13500	Heavy Duty Alternative Fuel Vehicle Demonstration Program	EPA	8,800
81.Unknown	Petroleum Escrow Funds	EPA	551,193
	Total Department of Energy		\$ 2,644,740
<u>FEDERAL EMERGENCY MANAGEMENT AGENCY</u>			
83.012	Hazardous Materials Assistance Program	MAA	\$ 5,884
83.105	Community Assistance Program—State Support Services Element (CAP-SSSE)	MAA	62,339
83.536	Flood Mitigation Assistance	MAA	11,905
83.544	Public Assistance Grants	MAA	1,999,278
83.548	Hazard Mitigation Grant	MAA	550,595
83.550	National Dam Safety Program	WCA	31,676
83.551	Project Impact—Building Disaster Resistant Communities	MAA	117,995
83.552	Emergency Management Performance Grants	MAA	3,361,204
	Total Federal Emergency Management Agency		\$ 6,140,876
<u>DEPARTMENT OF EDUCATION</u>			
<u>Special Education Cluster</u>			
84.027	Special Education—Grants to States	ASA	\$ 98,630
84.027	Special Education—Grants to States	DCA	55,441
84.027	Special Education—Grants to States	DJA	87,876
84.027	Special Education—Grants to States	EDA	74,573,456
84.027	Special Education—Grants to States	HSA	4,426
84.027	Special Education—Grants to States	NAA	178,857
84.027	Special Education—Grants to States	SDA	687,466
84.027	Special Education—Grants to States	SPA	39,082
84.027	Special Education—Grants to States	UAA	179,455
	84.027 Subtotal		75,904,689
84.173	Special Education—Preschool Grants	EDA	3,922,936
84.173	Special Education—Preschool Grants	SDA	93,970
	84.173 Subtotal		4,016,906
	Special Education Cluster Subtotal		79,921,595
<u>TRIO Cluster</u>			
84.042	TRIO—Student Support Services	ASA	415,748
84.042	TRIO—Student Support Services	NAA	193,467
84.042	TRIO—Student Support Services	UAA	14,206
	84.042 Subtotal		623,421
84.044	TRIO—Talent Search	NAA	283,891
84.047	TRIO—Upward Bound	ASA	765,617
84.047	TRIO—Upward Bound	NAA	613,757
	84.047 Subtotal		1,379,374
84.066	TRIO—Educational Opportunity Centers	ASA	524,649
84.066	TRIO—Educational Opportunity Centers	NAA	351,641
	84.066 Subtotal		876,290
84.217	TRIO—McNair Post-Baccalaureate Achievement	UAA	195,388
	Trio Cluster Subtotal		3,358,364
<u>Other Department of Education Programs</u>			
84.002	Adult Education—State Grant Program	EDA	5,676,020
84.010	Title I Grants to Local Educational Agencies	EDA	106,115,055
84.010	Title I Grants to Local Educational Agencies	SDA	123,763
	84.010 Subtotal		106,238,818
84.011	Migrant Education—State Grant Program	ASA	26,513
84.011	Migrant Education—State Grant Program	EDA	5,354,008
	84.011 Subtotal		5,380,521
84.013	Title I Program for Neglected and Delinquent Children	DCA	269,647
84.013	Title I Program for Neglected and Delinquent Children	DJA	581,841
84.013	Title I Program for Neglected and Delinquent Children	SPA	511,848
	84.013 Subtotal		1,363,336

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
84.015	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	ASA	153,537
84.015	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	UAA	691,063
	84.015 Subtotal		844,600
84.017	International Research and Studies	UAA	10,565
84.021	International: Overseas—Group Projects Abroad	UAA	11,002
84.022	International: Overseas—Doctoral Dissertation	ASA	972
84.025	Services for Children with Deaf-Blindness	SDA	94,279
84.029	Special Education—Personnel Development and Parent Training	UAA	31,110
84.048	Vocational Education—Basic Grants to States	ASA	307,382
84.048	Vocational Education—Basic Grants to States	CMA	2,909,572
84.048	Vocational Education—Basic Grants to States	DJA	189,772
84.048	Vocational Education—Basic Grants to States	EDA	13,787,755
84.048	Vocational Education—Basic Grants to States	NAA	223,443
	84.048 Subtotal		17,417,924
84.069	Leveraging Educational Assistance Partnership	ASA	100,180
84.069	Leveraging Educational Assistance Partnership	PEA	515,547
84.069	Leveraging Educational Assistance Partnership	NAA	38,379
	84.069 Subtotal		654,106
84.116	Fund for the Improvement of Postsecondary Education	ASA	152,649
84.116	Fund for the Improvement of Postsecondary Education	NAA	95,827
84.116	Fund for the Improvement of Postsecondary Education	UAA	1,203,796
84.116	Fund for the Improvement of Postsecondary Education, <i>University of California at Irvine</i>	ASA	15,046
84.116	Fund for the Improvement of Postsecondary Education, <i>American String Teachers Association with National School Orchestra Association, Contract # CK3225</i>	UAA	10,223
	84.116 Subtotal		1,477,541
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	ASA	290,178
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	DEA	38,812,772
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	DJA	47,847
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	SDA	344,185
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	UAA	50,674
	84.126 Subtotal		39,545,656
84.129	Rehabilitation Long-Term Training	UAA	692,002
84.154	Public Library Construction and Technology Enhancement	LAA	34,044
84.158	Secondary Education and Transitional Services for Youth with Disabilities	EDA	472,897
84.162	Immigrant Education	EDA	3,100,976
84.169	Independent Living—State Grants	DEA	304,010
84.170	Javits Fellowships	ASA	88,964
84.170	Javits Fellowships	UAA	133,501
	84.170 Subtotal		222,465
84.177	Rehabilitation Services—Independent Living Services for Older Individuals Who are Blind	DEA	394,274
84.181	Special Education—Grants for Infants and Families with Disabilities	DEA	7,940,682
84.181	Special Education—Grants for Infants and Families with Disabilities	HSA	999,956
84.181	Special Education—Grants for Infants and Families with Disabilities	NAA	34,323
84.181	Special Education—Grants for Infants and Families with Disabilities	SDA	470,489
	84.181 Subtotal		9,445,450
84.184	Safe and Drug-Free Schools and Communities—National Programs	ASA	11,657
84.184	Safe and Drug-Free Schools and Communities—National Programs	UAA	46,939
84.184	Safe and Drug-Free Schools and Communities—National Programs, <i>Tucson Unified School District, Contract # CK42077209</i>	UAA	64,288
	84.184 Subtotal		122,884
84.185	Byrd Honors Scholarships	EDA	706,250
84.185	Byrd Honors Scholarships	UAA	253,500
	84.185 Subtotal		959,750
84.186	Safe and Drug-Free Schools and Communities—State Grants	ASA	269,716
84.186	Safe and Drug-Free Schools and Communities—State Grants	AGA	174,800
84.186	Safe and Drug-Free Schools and Communities—State Grants	DCA	294
84.186	Safe and Drug-Free Schools and Communities—State Grants	DJA	9,403
84.186	Safe and Drug-Free Schools and Communities—State Grants	EDA	4,246,528
84.186	Safe and Drug-Free Schools and Communities—State Grants	GVA	1,450,940
84.186	Safe and Drug-Free Schools and Communities—State Grants	MAA	24,731
84.186	Safe and Drug-Free Schools and Communities—State Grants	SDA	1,876

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
84.186	Safe and Drug-Free Schools and Communities—State Grants	SPA	6,111
84.186	Safe and Drug-Free Schools and Communities—State Grants, <i>Osborn Elementary School District</i>	ASA	2,863
	84.186 Subtotal		6,187,262
84.187	Supported Employment Services for Individuals with Severe Disabilities	DEA	475,605
84.194	Bilingual Education Support Services	EDA	113,301
84.195	Bilingual Education—Professional Development	ASA	422,673
84.195	Bilingual Education—Professional Development	NAA	955,370
84.195	Bilingual Education—Professional Development	UAA	642,457
	84.195 Subtotal		2,020,500
84.196	Education for Homeless Children and Youth	EDA	338,214
84.200	Graduate Assistance in Areas of National Need	ASA	136,833
84.203	Star Schools, <i>Gallup McKinley County School</i>	ASA	207,106
84.203	Star Schools, <i>Los Angeles County Office of Education</i>	ASA	40,372
84.203	Star Schools, <i>Gallup McKinley County School, Contract # 214705/221991</i>	NAA	224,006
	84.203 Subtotal		471,484
84.213	Even Start—State Educational Agencies	EDA	3,328,501
84.215	Fund for the Improvement of Education	ASA	250
84.215	Fund for the Improvement of Education	UAA	10,888
84.215	Fund for the Improvement of Education, <i>Dysart</i>	ASA	88,027
84.215	Fund for the Improvement of Education, <i>Washington Public Schools</i>	ASA	394,835
84.215	Fund for the Improvement of Education, <i>Council of Chief State School Officers, Contract # R215U60011-98</i>	EDA	313,949
84.215	Fund for the Improvement of Education, <i>Tucson Unified School District, Contract # TUSD10000037157</i>	UAA	10,145
	84.215 Subtotal		818,094
84.216	Capital Expenses	EDA	45,312
84.224	Assistive Technology	NAA	755,338
84.243	Tech-Prep Education	CMA	727,722
84.243	Tech-Prep Education	EDA	840,513
	84.243 Subtotal		1,568,235
84.246	Rehabilitation Short-Term Training	NAA	172,829
84.264	Rehabilitation Training—Continuing Education, San Diego State University Foundation, Contract #s E0000361, E0000805	UAA	73,326
84.265	Rehabilitation Training—State Vocational Rehabilitation Unit In-Service Training	DEA	64,200
84.265	Rehabilitation Training—State Vocational Rehabilitation Unit In-Service Training, <i>Management Planning Research</i>	ASA	10,811
	84.265 Subtotal		75,011
84.276	Goals 2000—State and Local Education Systemic Improvement Grants	EDA	1,552,131
84.276	Goals 2000—State and Local Education Systemic Improvement Grants, <i>Tempe Union High School District</i>	ASA	426,034
	84.276 Subtotal		1,978,165
84.281	Eisenhower Professional Development State Grants	BRA	844,920
84.281	Eisenhower Professional Development State Grants	DJA	26,478
84.281	Eisenhower Professional Development State Grants	EDA	3,715,900
84.281	Eisenhower Professional Development State Grants	SDA	3,132
84.281	Eisenhower Professional Development State Grants	SPA	14,273
84.281	Eisenhower Professional Development State Grants	UAA	219,275
	84.281 Subtotal		4,823,978
84.282	Charter Schools	ASA	122,873
84.295	Ready-To-Learn Television, <i>Public Broadcasting Service, Contract # R295A000002</i>	UAA	31,213
84.298	Innovative Education Program Strategies	DCA	355
84.298	Innovative Education Program Strategies	DJA	19,143
84.298	Innovative Education Program Strategies	EDA	5,423,361
84.298	Innovative Education Program Strategies	SDA	4,990
84.298	Innovative Education Program Strategies	SPA	12,847
	84.298 Subtotal		5,460,696
84.299	Indian Education—Special Programs	ASA	428,407
84.299	Indian Education—Special Programs	NAA	532,941
	84.299 Subtotal		961,348
84.303	Technology Innovation Challenge Grants, <i>Creighton School District</i>	ASA	121,431
84.303	Technology Innovation Challenge Grants, <i>Gallup McKinley County School</i>	NAA	229,905
	84.303 Subtotal		351,336

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
84.310	Parental Assistance Centers, <i>Chandler Educational Foundation</i>	ASA	128,861
84.314	Even Start—Statewide Family Literacy Program	EDA	287,650
84.315	Capacity Building for Traditionally Underserved Populations	NAA	215,418
84.318	Technology Literacy Challenge Fund Grants	EDA	4,583,350
84.318	Technology Literacy Challenge Fund Grants, <i>Balsz School District No. 31</i>	ASA	10,846
	84.318 Subtotal		4,594,196
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities	SDA	7,012
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities, <i>Western Michigan University, Contract # H324R000074</i>	UAA	4,504
	84.324 Subtotal		11,516
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	ASA	566,049
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	NAA	452,743
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	UAA	1,280,190
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities, <i>University of North Carolina, Contract # H325B000003</i>	UAA	34,015
	84.325 Subtotal		2,332,997
84.331	Grants to States for Incarcerated Youth Offenders	DCA	1,395
84.332	Comprehensive School Reform Demonstration	EDA	454,988
84.333	Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education	UAA	250,436
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	NAA	4,264,121
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	UAA	471,219
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Gila Crossing Community School District</i>	ASA	1,015
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Sacaton School District</i>	ASA	3,510
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Tucson Unified School District, Contract # 0000009342</i>	UAA	152,818
	84.334 Subtotal		4,892,683
84.335	Child Care Access Means Parents in School	ASA	64,676
84.336	Teacher Quality Enhancement Grants	ASA	1,636,675
84.336	Teacher Quality Enhancement Grants	NAA	537,896
84.336	Teacher Quality Enhancement Grants	UAA	204,219
	84.336 Subtotal		2,378,790
84.339	Learning Anytime Anywhere Partnerships	ASA	545,260
84.339	Learning Anytime Anywhere Partnerships	UAA	384,463
	84.339 Subtotal		929,723
84.340	Class Size Reduction	DJA	37,950
84.340	Class Size Reduction	EDA	18,557,469
84.340	Class Size Reduction	SDA	63,633
84.340	Class Size Reduction	SPA	89,707
	84.340 Subtotal		18,748,759
84.341	Community Technology Centers	NAA	55,610
84.341	Community Technology Centers	SPA	173,016
	84.341 Subtotal		228,626
84.342	Preparing Tomorrow's Teachers to Use Technology	ASA	992,282
84.342	Preparing Tomorrow's Teachers to Use Technology	NAA	579,246
	84.342 Subtotal		1,571,528
84.346	Occupational and Employment Information State Grants	EDA	94,287
84.348	Title I Accountability Grants	EDA	2,108,153
84.353	Tech-Prep Demonstration Grants	UAA	2,914
84.928	National Writing Project, <i>National Writing Project</i>	ASA	25,600
84.H158A60004	Secondary Education and Transitional Services for Youth with Disabilities	ASA	440,476
84.PO 101255	Gear Up USDED, <i>Sacaton School District</i>	ASA	17,408
84.CNTR366	ADE Training Facility, Contract # 221	ASA	7,698
84.ED99C00072	Department of Education	EDA	3,429
84.ED99000072	National Center for Education Statistics	EDA	9,425
84.Unknown	SELECT	NAA	126,623
84.Unknown	Community Based Science; Science & Assess Knowledge K-8; Project Terra; Secondary School Geometry; Interactive Precalculus; Dine Science Math Reading Tech; Improving Mathematics Project, Globe-NAN III; Elementary Science Curriculum; AZ Middle School Math/Sci Init; Science Implementation; Elementary Science Curr; Improving Mathematics Achieve; Science Implementation; AZ Middle School Mathematics, Tombstone Standards	NAA	407,081
84.Unknown	LCE: Interactive Archaeology; LCE: Culture, Childhood, Class; LCE: Sim School; LCE: Faculty Increasing Study	NAA	21,025

State of Arizona
 Schedule of Expenditures of Federal Awards
 Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
84.Unknown	Curriculum	NAA	3,000
84.Unknown	Assistive Technology Program, <i>Southwest Human Development</i>	NAA	3,951
84.Unknown	Northern Arizona Writing Project, <i>University of Berkeley at California</i> , Contract # 92-AZ02	NAA	45,960
84.Unknown	Community Education and Information 1999-2001	UAA	169,908
84.Unknown	National Writing Project Matching Funds, <i>National Writing Project</i> , Contract # 92AZ03	UAA	30,757
84.Unknown	Professional Development	UAA	109,577
84.Unknown	WGBH-Zoom Local National Pilot Station Grant, <i>WGBH Educational Foundation</i> , Contract # K2001001105	UAA	5,000
	Total Department of Education		\$ 348,809,129
<u>NATIONAL ARCHIVES AND RECORDS ADMINISTRATION</u>			
89.003	National Historic Publications and Records Grants	LAA	\$ 9,841
	Total National Archives and Records Administration		\$ 9,841
<u>DEPARTMENT OF HEALTH AND HUMAN SERVICES</u>			
<u>Aging Cluster</u>			
93.044	Special Programs for the Aging—Title III, Part B—Grants for Supportive Services and Senior Centers	DEA	\$ 7,013,982
93.045	Special Programs for the Aging—Title III, Part C—Nutrition Services	DEA	7,023,220
	Aging Cluster Subtotal		14,037,202
<u>Child Care Cluster</u>			
93.575	Child Care and Development Block Grant	DEA	31,393,900
93.575	Child Care and Development Block Grant	GVA	985,732
93.575	Child Care and Development Block Grant	HSA	984,068
	93.575 Subtotal		33,363,700
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund	DEA	55,189,839
	Child Care Cluster Subtotal		88,553,539
<u>Medicaid Cluster</u>			
93.775	State Medicaid Fraud Control Units	AGA	758,516
93.777	State Survey and Certification of Health Care Providers and Suppliers	BNA	364,700
93.777	State Survey and Certification of Health Care Providers and Suppliers	HSA	3,908,871
	93.777 Subtotal		4,273,571
	Medicaid Cluster Subtotal		5,032,087
<u>Other Department of Health and Human Services Programs</u>			
93.003	Public Health and Social Services Emergency Fund	HSA	29,339
93.041	Special Programs for the Aging—Title VII, Chapter 3—Programs for Prevention of Elder Abuse, Neglect, and Exploitation	DEA	90,014
93.042	Special Programs for the Aging—Title VII, Chapter 2—Long Term Care Ombudsman Services for Older Individuals	DEA	166,645
93.043	Special Programs for the Aging—Title III, Part D—Disease Prevention and Health Promotion Services	DEA	297,683
93.048	Special Programs for the Aging—Title IV and Title II—Discretionary Projects	DEA	600,480
93.052	National Family Caregiver Support	DEA	1,865,218
93.052	National Family Caregiver Support, <i>Pima Council on Aging</i> , Contract # CK5768	UAA	9,177
	93.052 Subtotal		1,874,395
93.103	Food and Drug Administration—Research	AHA	6,080
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)	HSA	606,096
93.107	Model State-Supported Area Health Education Centers	UAA	403,914
93.110	Maternal and Child Health Federal Consolidated Programs	HSA	162,310
93.110	Maternal and Child Health Federal Consolidated Programs	UAA	380,442
93.110	Maternal and Child Health Federal Consolidated Programs, <i>University of Colorado</i> , Contract #s FY01003028, FY01003030, H46MC00132	UAA	83,802
	93.110 Subtotal		626,554
93.113	Biological Response to Environmental Health Hazards, <i>University of Medicine & Dentistry of New Jersey</i> , Contract # R25ESO8221	UAA	203
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs	HSA	980,824
93.119	Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services—Technical Assistance Centers for Evaluation	HSA	84,400
93.127	Emergency Medical Services for Children	HSA	160,843
93.130	Primary Care Services—Resource Coordination and Development	HSA	223,313
93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention, <i>University of New Mexico</i> , Contract # 402282	UAA	19,316
93.136	Injury Prevention and Control Research and State and Community Based Programs	HSA	392,997

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.136	Injury Prevention and Control Research and State and Community Based Programs	UAA	308,779
	93.136 Subtotal		701,776
93.142	NIEHS Hazardous Waste Worker Health and Safety Training, <i>UCLA (at Los Angeles)</i>	ASA	197,991
93.143	NIEHS Superfund Hazardous Substances—Basic Research and Education	ASA	6,036
93.145	AIDS Education and Training Centers, University of California, San Francisco, Contract #s 2526SC, PA044SC	UAA	153,036
93.150	Projects for Assistance in Transition from Homelessness (PATH)	HSA	508,352
93.157	Centers of Excellence	UAA	376,224
93.165	Grants for State Loan Repayment	HSA	70,566
93.173	Research Related to Deafness and Communication Disorders	ASA	10,869
93.173	Research Related to Deafness and Communication Disorders	UAA	35,247
	93.173 Subtotal		46,116
93.189	Health Education and Training Centers	UAA	223,331
93.191	Allied Health Special Projects	NAA	157,258
93.192	Quentin N. Burdick Programs for Rural Interdisciplinary Training	ASA	7,699
93.192	Quentin N. Burdick Programs for Rural Interdisciplinary Training	UAA	216,653
	93.192 Subtotal		224,352
93.197	Childhood Lead Poisoning Prevention Projects—State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children	HSA	150,963
93.211	Rural Telemedicine Grants	UAA	1,548
93.217	Family Planning—Services, <i>Arizona Family Planning</i>	ASA	211,181
93.230	Consolidated Knowledge Development and Application (KD&A) Program	ASA	228,275
93.230	Consolidated Knowledge Development and Application (KD&A) Program	GVA	90,412
93.230	Consolidated Knowledge Development and Application (KD&A) Program	HSA	2,870,946
93.230	Consolidated Knowledge Development and Application (KD&A) Program	UAA	565,824
	93.230 Subtotal		3,755,457
93.234	Traumatic Brain Injury—State Demonstration Grant Program	DEA	84,548
93.234	Traumatic Brain Injury—State Demonstration Grant Program	HSA	64,573
	93.234 Subtotal		149,121
93.235	Abstinence Education	HSA	797,113
93.235	Abstinence Education	NAA	43,836
	93.235 Subtotal		840,949
93.238	Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement	HSA	170,483
93.240	State Capacity Building	HSA	152,491
93.241	State Rural Hospital Flexibility Program	UAA	365,953
93.247	Advanced Education Nursing Grant Program	ASA	522,972
93.251	Universal Newborn Hearing Screening	HSA	191,153
93.251	Universal Newborn Hearing Screening	SDA	19,523
	93.251 Subtotal		210,676
93.253	Poison Control Stabilization and Enhancement Grants	UAA	86,065
93.256	State Planning Grant—Health Care Access for the Uninsured	HCA	826,000
93.263	Occupational Safety and Health—Training Grants	UAA	49,911
93.268	Immunization Grants	HSA	21,969,468
93.272	Alcohol National Research Service Awards for Research Training	ASA	22,136
93.278	Drug Abuse National Research Service Awards for Research Training	ASA	24,190
93.279	Drug Abuse Research Programs	ASA	117,847
93.282	Mental Health National Research Service Awards for Research Training	ASA	229,984
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	HSA	1,976,613
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	UAA	385,828
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance, <i>Association of Teachers of Preventive Medicine, Contract # TS0733</i>	UAA	3,410
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance, University of Colorado, Contract #s U27CCU8131092580118, 2580005	UAA	48,498
	93.283 Subtotal		2,414,349
93.358	Advanced Education Nursing Traineeships	ASA	74,664
93.358	Advanced Education Nursing Traineeships	NAA	23,600
	93.358 Subtotal		98,264
93.359	Basic Nurse Education and Practice Grants	ASA	451,088
93.359	Basic Nurse Education and Practice Grants	UAA	10,996
	93.359 Subtotal		462,084

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.361	Nursing Research	UAA	203,167
93.389	Research Infrastructure	UAA	425,223
93.389	Research Infrastructure, <i>University of Medicine & Dentistry of New Jersey</i> , Contract # R25RR15621	UAA	22,104
	93.389 Subtotal		447,327
93.393	Cancer Cause and Prevention Research	HSA	22,388
93.393	Cancer Cause and Prevention Research	UAA	62,889
	93.393 Subtotal		85,277
93.394	Cancer Detection and Diagnosis Research	UAA	6,000
93.398	Cancer Research Manpower	UAA	245,448
93.399	Cancer Control, <i>Mayo Clinic</i> , Contract # U01CA86098	UAA	129,748
93.399	Cancer Control, <i>University of Alabama</i> , Contract # R01CA86311	UAA	42,396
	93.399 Subtotal		172,144
93.556	Promoting Safe and Stable Families	DEA	6,175,306
93.556	Promoting Safe and Stable Families, <i>Navajo Nation</i>	ASA	144
	93.556 Subtotal		6,175,450
93.558	Temporary Assistance for Needy Families	DEA	224,878,654
93.558	Temporary Assistance for Needy Families	GVA	61,858
93.558	Temporary Assistance for Needy Families	HSA	423,709
93.558	Temporary Assistance for Needy Families	NAA	313,939
	93.558 Subtotal		225,678,160
93.563	Child Support Enforcement	DEA	41,103,242
93.566	Refugee and Entrant Assistance—State Administered Programs	DEA	6,918,939
93.568	Low-Income Home Energy Assistance	DEA	7,918,804
93.568	Low-Income Home Energy Assistance	EPA	1,420,978
	93.568 Subtotal		9,339,782
93.569	Community Services Block Grant	DEA	4,729,217
93.570	Community Services Block Grant—Discretionary Awards, <i>National Youth Sports</i>	ASA	25,699
93.570	Community Services Block Grant—Discretionary Awards, National Collegiate Athletic Association, Contract #s NYSPF02109, NYSPF02109, 00485	UAA	72,195
	93.570 Subtotal		97,894
93.576	Refugee and Entrant Assistance—Discretionary Grants	DEA	310,810
93.576	Refugee and Entrant Assistance—Discretionary Grants	EDA	228,216
	93.576 Subtotal		539,026
93.584	Refugee and Entrant Assistance—Targeted Assistance	DEA	1,056,239
93.585	Empowerment Zones Program	DEA	72,214
93.586	State Court Improvement Program	SPA	189,312
93.590	Community-Based Family Resource and Support Grants	DEA	793,442
93.600	Head Start	GVA	157,201
93.603	Adoption Incentive Payments	DEA	1,310,293
93.623	Runaway and Homeless Youth	DEA	78,282
93.630	Developmental Disabilities Basic Support and Advocacy Grants	DEA	824,629
93.631	Developmental Disabilities Projects of National Significance	NAA	120,308
93.631	Developmental Disabilities Projects of National Significance	UAA	18,400
	93.631 Subtotal		138,708
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	NAA	357,936
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	UAA	75,732
	93.632 Subtotal		433,668
93.643	Children's Justice Grants to States	GVA	211,401
93.643	Children's Justice Grants to States	SPA	1,500
	93.643 Subtotal		212,901
93.645	Child Welfare Services—State Grants	DEA	5,412,139
93.648	Child Welfare Services Training Grants	ASA	411,101
93.658	Foster Care—Title IV-E	ASA	1,038,049
93.658	Foster Care—Title IV-E	DEA	38,449,469
	93.658 Subtotal		39,487,518
93.659	Adoption Assistance	DEA	18,204,383
93.667	Social Services Block Grant	ADA	164,178
93.667	Social Services Block Grant	DEA	72,350,733
	93.667 Subtotal		72,514,911

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.669	Child Abuse and Neglect State Grants	DEA	539,149
93.669	Child Abuse and Neglect State Grants	HSA	176,088
	93.669 Subtotal		715,237
93.671	Family Violence Prevention and Services/Grants for Battered Women's Shelters—Grants to States and Indian Tribes	HSA	1,091,542
93.674	Chafee Foster Care Independent Living	DEA	1,728,673
93.767	State Children's Insurance Program	HCA	55,769,000
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	DEA	46,040,700
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	HCA	2,426,524,000
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	HSA	658,853
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	NAA	30,438
	93.779 Subtotal		2,473,253,991
93.822	Health Careers Opportunity Program	NAA	407,088
93.822	Health Careers Opportunity Program	UAA	562,712
	93.822 Subtotal		969,800
93.837	Heart and Vascular Diseases Research	UAA	496,308
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	ASA	23,725
93.859	Pharmacology, Physiology, and Biological Chemistry Research	UAA	177,576
93.879	Medical Library Assistance	UAA	41,041
93.880	Minority Access to Research Careers	ASA	435,835
93.884	Grants for Residency Training in General Internal Medicine and/or General Pediatrics	UAA	118,267
93.886	Physician Assistant Training in Primary Care, <i>Amersa</i> , Letter	NAA	1,956
93.895	Grants for Faculty Development in Family Medicine	UAA	113,846
93.896	Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics)	UAA	132,809
93.913	Grants to States for Operation of Offices of Rural Health	UAA	101,557
93.914	HIV Emergency Relief Project Grants	HSA	295,984
93.917	HIV Care Formula Grants	HSA	8,105,529
93.917	HIV Care Formula Grants, <i>Maricopa County Department of Health Services</i>	ASA	30,978
	93.917 Subtotal		8,136,507
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	HSA	1,803,957
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	ASA	511,742
93.926	Healthy Start Initiative	HCA	181,000
93.933	Demonstration Projects for Indian Health	UAA	77,254
93.933	Demonstration Projects for Indian Health, <i>Inter Tribal Council of Arizona, Incorporated</i> , Contract # CK43690	UAA	11,011
	93.933 Subtotal		88,265
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	ASA	61,508
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	EDA	298,468
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems, <i>Association of American Colleges and Universities</i> , Contract # CK55949	UAA	817
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems, <i>National 4H Council</i> , Contract # CK211174	UAA	156,825
	93.938 Subtotal		517,618
93.940	HIV Prevention Activities—Health Department Based	HSA	2,894,114
93.941	HIV Demonstration, Research, Public and Professional Education Projects	HSA	72,904
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance	HSA	454,658
93.945	Assistance Programs for Chronic Disease Prevention and Control	HSA	162,849
93.958	Block Grants for Community Mental Health Services	HSA	6,421,575
93.958	Block Grants for Community Mental Health Services	SPA	36,714
	93.958 Subtotal		6,458,289
93.959	Block Grants for Prevention and Treatment of Substance Abuse	ASA	54,138
93.959	Block Grants for Prevention and Treatment of Substance Abuse	HDA	22,710
93.959	Block Grants for Prevention and Treatment of Substance Abuse	HSA	26,846,023
	93.959 Subtotal		26,922,871
93.962	Health Administration Traineeships and Special Projects Program	ASA	9,220
93.970	Health Professions Recruitment Program for Indians	ASA	280,654
93.970	Health Professions Recruitment Program for Indians	UAA	55,306
	93.970 Subtotal		335,960

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.977	Preventive Health Services—Sexually Transmitted Diseases Control Grants	HSA	1,251,470
93.984	Academic Administrative Units in Primary Care	UAA	94,333
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	HSA	245,318
93.991	Preventive Health and Health Services Block Grant	HSA	1,943,688
93.994	Maternal and Child Health Services Block Grant to the States	ASA	28,575
93.994	Maternal and Child Health Services Block Grant to the States	GVA	115,962
93.994	Maternal and Child Health Services Block Grant to the States	HSA	5,585,213
	93.994 Subtotal		5,729,750
93.270-94-0026	State Demands and Needs Assessment	HSA	8,157
93.0600-98-32781	Enumeration at Birth	HSA	81,066
93.200-96-7255	National Death Index	HSA	23,139
93.200-95-7202	Vital Statistics Cooperative Program	HSA	151,295
93.230010042	Border Vision Fronteriza Project (BVF) Children's Health Project	UAA	157,725
93.240950043	U.S.-Mexico Border Health Outreach Demonstration	UAA	390
93.Unknown	AIRPEM: Applied Experience in Psychology, Indian Health Services, Contract #s 005-E37-1-0011, 1055018300	NAA	17,266
93.Unknown	A Heart Failure Guide to Optimize Self-Management—Case Management and Communication, <i>American Association of Health Plans</i> , Contract # 957036	UAA	17,407
93.Unknown	Arizona Public Health Leadership Institute, <i>Association of Teachers of Preventive Medicine</i> , Contract # TS3111515	UAA	29,255
93.Unknown	Arizona Statewide Health Insurance Planning Project	UAA	283,300
93.Unknown	Project Evaluation and Training Services for Arizona Border HIV/AIDS Care Project, <i>El Rio Health Center</i> , Contract # Ck41997	UAA	16,574
93.Unknown	SMI Services, <i>La Frontera, Inc.</i> , Contract # CK0005774	UAA	1,119
93.Unknown	Strategies for Reaching American Indian/Alaska Native Women—A Case Study, <i>Battelle Memorial Institute</i> , Contract # 146545	UAA	15,223
	Total Department of Health and Human Services		\$ 3,180,411,647
<u>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE</u>			
<u>Foster Grandparent/Senior Companion Cluster</u>			
94.011	Foster Grandparent Program	DEA	\$ 321,178
94.011	Foster Grandparent Program	NAA	107,616
	94.011 Subtotal		428,794
94.016	Senior Companion Program	NAA	179,747
	Foster Grandparent/Senior Companion Cluster Subtotal		608,541
<u>Other Corporation for National and Community Service Programs</u>			
94.002	Retired and Senior Volunteer Program	NAA	54,435
94.003	State Commissions	GVA	176,146
94.003	State Commissions	NAA	3,359
	94.003 Subtotal		179,505
94.004	Learn and Serve America—School and Community Based Programs	ASA	43,603
94.004	Learn and Serve America—School and Community Based Programs	EDA	198,114
94.004	Learn and Serve America—School and Community Based Programs	GVA	7,055
94.004	Learn and Serve America—School and Community Based Programs	NAA	17,450
	94.004 Subtotal		266,222
94.005	Learn and Serve America—Higher Education	ASA	17,393
94.006	AmeriCorps	GVA	2,051,070
94.006	AmeriCorps	NAA	405,987
94.006	AmeriCorps	UAA	67,403
94.006	AmeriCorps, <i>Jumpstart</i>	ASA	37,491
	94.006 Subtotal		2,561,951
94.007	Planning and Program Development Grants	GVA	96,685
94.009	Training and Technical Assistance	GVA	229,170
94.009	Training and Technical Assistance	NAA	62,592
94.009	Training and Technical Assistance, <i>Association of Gerontology</i>	ASA	6,614
	94.009 Subtotal		298,376
	Total Corporation for National and Community Service		\$ 4,083,108
<u>SOCIAL SECURITY ADMINISTRATION</u>			
96.001	Social Security—Disability Insurance	DEA	\$ 19,491,305
	Total Social Security Administration		\$ 19,491,305

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
STUDENT FINANCIAL AID CLUSTER			
84.007	Federal Supplemental Educational Opportunity Grants	ASA	\$ 2,373,918
84.007	Federal Supplemental Educational Opportunity Grants	NAA	444,474
84.007	Federal Supplemental Educational Opportunity Grants	UAA	1,507,896
	84.007 Subtotal		4,326,288
84.033	Federal Work-Study Program	AGA	41,029
84.033	Federal Work-Study Program	ASA	1,723,335
84.033	Federal Work-Study Program	NAA	832,739
84.033	Federal Work-Study Program	UAA	1,995,589
	84.033 Subtotal		4,592,692
84.038	Federal Perkins Loan Program—Federal Capital Contributions	ASA	88,261
84.038	Federal Perkins Loan Program—Federal Capital Contributions	NAA	94,952
84.038	Federal Perkins Loan Program—Federal Capital Contributions	UAA	162,978
	84.038 Subtotal		346,191
84.063	Federal Pell Grant Program	ASA	21,890,481
84.063	Federal Pell Grant Program	NAA	10,484,579
84.063	Federal Pell Grant Program	UAA	15,967,749
	84.063 Subtotal		48,342,809
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	UAA	2,862
93.364	Nursing Student Loans	UAA	35,759
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	UAA	190,301
	Total Student Financial Aid Cluster		\$ 57,836,902
RESEARCH AND DEVELOPMENT CLUSTER			
Agency for International Development			
02.RD	<i>Council of State Government</i> , Contract # AEP0015A00403400	ASA	\$ 39,257
02.RD	<i>New York University</i> , Contract # 263-G-00-93-00089-000	ASA	6,127
02.RD	<i>Volunteers In Overseas Cooperative Assistance</i> , Contract # FAO00969003800	UAA	79,977
	Subtotal Agency for International Development		125,361
Inter-American Foundation			
04.RD	Inter-American Foundation	UAA	421
	Subtotal Inter-American Foundation		421
Department of Agriculture			
10.RD	Agricultural Research Service	ASA	27,762
10.RD	Agricultural Research Service	NAA	93,064
10.RD	Cooperative State Research, Education, and Extension Service	ASA	487,057
10.RD	Cooperative State Research, Education, and Extensive Service	NAA	103,633
10.RD	Department of Agriculture	UAA	8,209,122
10.RD	Foreign Agriculture Service	NAA	248
10.RD	Forest Service	ASA	83,174
10.RD	Forest Service	NAA	902,674
10.RD	Forest Service	UAA	920,123
10.RD	Rural Business-Cooperative Service	ASA	4,938
10.RD	<i>Arizona Department of Agriculture</i> , Contract # 010166	ASA	8,515
10.RD	<i>Arizona Department of Health Services</i> , Contract #s 161039, 261080, 161039	UAA	46,468
10.RD	<i>Arizona State Land Department</i> , Contract # G06	UAA	5,389
10.RD	<i>Colorado State University</i> , Contract # G14061	UAA	57,768
10.RD	<i>Kansas State University</i> , Contract # S01012	UAA	9,472
10.RD	<i>Michigan State University</i> , Contract # 614296D	UAA	22,883
10.RD	<i>National Fish and Wildlife Foundation</i> , Contract # 20010439000	UAA	11,217
10.RD	<i>National Pork Producers Council</i> , Contract # 2102	UAA	856
10.RD	<i>National Potato Promotion Board</i> , Contract # 010067	ASA	10,104
10.RD	<i>New Mexico State University</i> , Contract #s SWC01N04Q00649, NMSUBD10, SWC00N03, NMSUB12000, SWC01R03Q00653, SWC01N03Q00651, SWC00N08	UAA	115,742
10.RD	<i>Northern Arizona University</i> , Contract # 2001-34465-10795	ASA	67,760
10.RD	<i>Oceanic Institute</i> , Contract #s 99388087431, 95388081424	UAA	409,760
10.RD	<i>Oregon State University</i> , Contract # RD01A11	UAA	41,789
10.RD	<i>Rutgers, The State University of New Jersey</i> , Contract #s 5864355080, CK023935	UAA	17,425
10.RD	<i>Texas A & M University</i> , Contract #s 570118, 570115	UAA	47,769
10.RD	<i>University of California—Davis</i> , Contract #s K97191301, 00RA2416AZ, 0001671X	UAA	45,345
10.RD	<i>University of California, Riverside</i> , Contract # 9908	UAA	8,136
10.RD	<i>University of Hawaii</i> , Contract # C000072	UAA	7,484
10.RD	<i>University of Missouri-Columbia</i> , Contract # 00-34318-9237	ASA	184,237

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
10.RD	University of Tennessee, Contract # OR113930101	UAA	65,330
10.RD	University of Washington, Contract #s 237030, 423387	UAA	21,600
10.RD	Utah State University, Contract #s C026020, C025887, C027004, C019078, 99035, C026031, C027004, C018730, C026020, 99040	UAA	170,232
	Subtotal Department of Agriculture		12,207,076
Department of Commerce			
11.RD	Economic Development Administration	UAA	129,716
11.RD	National Institute of Standards and Technology	ASA	76,719
11.RD	National Institute of Standards and Technology	UAA	161,143
11.RD	National Oceanic and Atmospheric Administration	UAA	1,423,860
11.RD	U.S. Census Bureau	UAA	51,844
11.RD	Advanced Tissue Science, Incorporated, Contract # 762000	UAA	10,037
11.RD	Indiana University, Contract # NA06GP0344	UAA	28,434
11.RD	Metal Toxicity in Stellar Sea, Yale University, Contract # NA16FX1412	NAA	16,232
11.RD	Motorola, Inc., Contract # 70NANB9H3012-004	ASA	90,794
11.RD	National Storage Industry Consortium, Contract # 9701MORE	UAA	42,023
11.RD	Texas A & M University, Contract # NA06RG0072	UAA	82,641
11.RD	University Corp. for Atmospheric Research, Contract # S0132793	UAA	12,781
11.RD	University of Massachusetts, Contract # 2707400	UAA	11,318
11.RD	University of Nevada at Reno, Contract # UNR0179	UAA	8,139
11.RD	University of Southern Mississippi, Contract # USM0315001101B10	UAA	186,578
11.RD	University of Washington, Contract # 293139	UAA	69,132
	Subtotal Department of Commerce		2,401,391
Department of Defense			
12.RD	Defense Advanced Research Projects Agency	UAA	1,190,957
12.RD	Defense Supply Service—Washington	UAA	184,738
12.RD	Department of the Air Force	UAA	7,560,340
12.RD	Department of the Air Force, Materiel Command	ASA	1,117,977
12.RD	Department of the Army	NAA	125,128
12.RD	Department of the Army, Army Research Office	ASA	156,182
12.RD	Department of the Army, Office of the Chief of Engineers	ASA	5,304
12.RD	Department of Defense	NAA	1,418
12.RD	Department of Defense	UAA	57,344
12.RD	Department of the Navy	UAA	1,920,408
12.RD	Department of the Navy, Office of the Chief of Naval Research	ASA	4,065,520
12.RD	Maryland Procurement Office	ASA	266,663
12.RD	Maryland Procurement Office	UAA	30,614
12.RD	National Imagery and Mapping Agency	UAA	99,616
12.RD	National Security Agency	ASA	415,441
12.RD	National Security Agency	UAA	24,261
12.RD	Office of the Secretary of Defense	ASA	879,476
12.RD	SPAWAR Systems Center—San Diego	UAA	862,769
12.RD	U.S. Army Communications—Electronics Command	UAA	192,627
12.RD	U.S. Army Intelligence Center and Fort Huachuca	UAA	5,059
12.RD	U.S. Army Materiel Command	ASA	234,804
12.RD	U.S. Army Materiel Command Acquisition Center	UAA	1,135,739
12.RD	U.S. Army Medical Command	ASA	284,516
12.RD	U.S. Army Medical Research Acquisition Activity	UAA	928,790
12.RD	U.S. Army Robert Morris Acquisition	UAA	33,449
12.RD	U.S. Army Space and Strategic Defense Command	UAA	13,315
12.RD	Academy of Applied Sciences, Contract # DAAG55-98-1-0468	ASA	8,488
12.RD	Advanced Ceramics Research Incorporated, Contract #s 296955, 001557	UAA	115,609
12.RD	Advanced Research Projects Agency	ASA	2,622,554
12.RD	Analysis of Ecological Model, University of Illinois-Chicago, Contract # 00-337	NAA	9,183
12.RD	Atlantic Aerospace Electronics, Contract # F19628-99-C-0080	ASA	22,516
12.RD	Basic Scientific Research	ASA	185,033
12.RD	Booz, Allen, and Hamilton, Contract #s 31771CV202D09, 31771CV202D030	UAA	177,546
12.RD	California Institute of Technology, Contract # PC290433	UAA	35,640
12.RD	Canopus Systems, Contract # DAAH0100CR104	ASA	6,568
12.RD	Florida International University, Contract # F496209910112	ASA	59,936
12.RD	Georgia Institute of Technology, Contract # MDA972-93-1-0030	ASA	7,429
12.RD	Geosystems Analysis, Inc., Contract # DABT6398T0158	ASA	1,625
12.RD	Harvard University, Contract # DAAD19-01-1-0607	ASA	4,683
12.RD	IBM, Contract # MDA972-99-C-0009	ASA	13,327
12.RD	Imperial College, Contract # CK19787	UAA	170,366
12.RD	Intelligent Automotive, Contract # DAAD17-00-C-0038	ASA	999
12.RD	Lite Cycles, Incorporated, Contract # A0020755	UAA	18,162

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
12.RD	Lockheed, Contract #s MDA972-01-9-0002, MDA97201C0064	ASA	211,930
12.RD	Massachusetts Institute of Technology, Contract # N000140110758	ASA	34,624
12.RD	Mayo Clinic Scottsdale, Contract # N6600199C8605	ASA	23,653
12.RD	Mayo Foundation, Contract # N66001-99-C-8605	ASA	169,140
12.RD	Microlab, Inc., Contract #s DAAH0101CR171, F2960100C0132, F2960101C0032, F29601-99-C-0101, TC1000810/MLR190, MDA9720130001	ASA	129,061
12.RD	Motorola, Inc., Contract # MDA9720130001	ASA	169,258
12.RD	National Medical Technology Testbed, Contract #s 20001050, 200172	UAA	24,355
12.RD	Neotech, Contract # N00173-01-C-2011	ASA	29,304
12.RD	New York University, Contract #s F30602-96-1-0320-P00003, F30602-99-1-0517-P00001, N66001-00-1-	ASA	321,518
12.RD	North Carolina State University, Contract # 2001116202	UAA	66,776
12.RD	North Carolina State University, Contract #s F49620-951-0447, N00014-01-1-0716	ASA	113,277
12.RD	Northrop Grumman, Contract # N0001400C0173	ASA	21,351
12.RD	NP Photonic Technologies LLC, Contract #s DTRA0101C0015, NP1005C0042	UAA	51,798
12.RD	Optolocity, Contract # DTRA01-00-P-0067	ASA	3,206
12.RD	Physical Sciences, Inc., Contract #s SC25627137403, SC22153262946	UAA	30,806
12.RD	Princeton University, Contract # N000140110741	ASA	129,459
12.RD	Purdue University, Contract # F496200010016	ASA	68,630
12.RD	Raytheon Company, Contract #s 6840649SN4, LSC6840640SN4	UAA	310,662
12.RD	Rockwell International, Contract # B0U428378	UAA	214,597
12.RD	Syndetix, Incorporated, Contract # 1027329	UAA	3,760
12.RD	Titan Systems Corporation, Contract # F19628-99-C-0080	ASA	73,664
12.RD	Universal Space Research Association, Contract # F29601-98-D-0210	ASA	112
12.RD	University of California at Berkeley, Contract # N00014-01-1-0943	ASA	100,028
12.RD	University of Florida, Contract # UFEIES0009003UA	UAA	62,859
12.RD	University of Illinois, Contract #s 98128, 01128	UAA	97,681
12.RD	University of Illinois—Chicago, Contract # DAAD190110462	ASA	43,637
12.RD	University of Illinois—Urbana-Champaign, Contract # N00014-01-1-1062	ASA	37,967
12.RD	University of Kansas, Contract # F49620-98-1-0400	ASA	57,937
12.RD	University of Michigan, Contract # F005004	UAA	19,120
12.RD	University of Minnesota, Contract # N00014-00-1-0928	ASA	46,178
12.RD	University of Oregon, Contract # 235751B	UAA	222,327
12.RD	University of Pennsylvania, Contract # 534683	UAA	31,168
12.RD	University of Rhode Island, Contract # 52201535231	UAA	40,252
12.RD	University of South Florida, Contract # N00039-01-1-2248	ASA	55,636
12.RD	University of Texas, Contract # UTA023364300059044	UAA	13,741
12.RD	University of Texas, MD Anderson Cancer Center, Contract # DAMD179919505	UAA	2,187
12.RD	University of Vermont, Contract #s DAAD19-00-1-0377, DAAD19-01-1-0406	ASA	40,342
12.RD	University of Virginia, Contract # F496020110352	ASA	68,375
12.RD	University of Washington, Contract # WO8333-01	ASA	83,724
12.RD	Vanderbilt University, Contract #s 14761S3, 14236S1	UAA	76,819
12.RD	Ventana Research Company, Contract # DAAD1902C0014	UAA	25,480
12.RD	Virginia Commonwealth University, Contract # 52868213	ASA	3,704
12.RD	Yale University, Contract # Y000004	UAA	198,032
12.RD	Zona Technology, Inc., Contract # ZTASU010612	ASA	146,151
	Subtotal Department of Defense		28,926,405
Department of Housing and Urban Development			
14.RD	City of Tucson, Contract # 18299	UAA	30,079
	Subtotal Department of Housing and Urban Development		30,079
Department of the Interior			
15.RD	Bureau of Indian Affairs	UAA	18,364
15.RD	Bureau of Land Management	ASA	132,863
15.RD	Bureau of Land Management	NAA	2,366,046
15.RD	Bureau of Land Management	UAA	202,239
15.RD	Bureau of Reclamation	ASA	101,967
15.RD	Bureau of Reclamation	NAA	29,819
15.RD	Bureau of Reclamation	UAA	520,719
15.RD	Department of the Interior	UAA	3,518
15.RD	Geological Survey	ASA	24,634
15.RD	National Park Service	ASA	24,446
15.RD	National Park Service	NAA	758,128
15.RD	National Park Service	UAA	1,126,386
15.RD	U.S. Fish and Wildlife Service	ASA	41,350
15.RD	U.S. Fish and Wildlife Service	NAA	7,837
15.RD	U.S. Fish and Wildlife Service	UAA	123,050
15.RD	U.S. Geological Survey	NAA	1,171,772
15.RD	U.S. Geological Survey	UAA	2,023,868

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
15.RD	Characterization Regional Aqwi, <i>University of Arizona, Contract # Y712611</i>	NAA	2,766
15.RD	Inventory of Southwestern Willow Flycatcher, <i>State of Utah, Contract # 976475</i>	NAA	10,134
15.RD	<i>Louisiana State University and Agricultural and Mechanical College, Contract # R122941</i>	UAA	148,411
15.RD	<i>National Fish and Wildlife Foundation, Contract #s 00003006, 98083005, 20010028037, 00002028</i>	UAA	41,787
15.RD	<i>Southern Paiute Consortium, Contract # 2550007110</i>	UAA	2,457
15.RD	<i>Southwest Parks and Monuments Association, Contract #s 007, 0110</i>	UAA	7,760
15.RD	<i>University of Arizona Water Resource Center, Contract # 01HQGR0113</i>	ASA	3,709
15.RD	<i>University of California at Davis, Contract #s 1448-11330-97-J045 A002, DCN 11330-1-J084</i>	ASA	45,439
15.RD	<i>University of Southern California, Contract # 1434-HQ-97-AG-01718</i>	ASA	6,281
	Subtotal Department of the Interior		8,945,750
<u>Department of Justice</u>			
16.RD	Department of Justice	NAA	31,224
16.RD	Federal Bureau of Investigation	NAA	287,965
16.RD	Immigration and Naturalization Service	UAA	29,948
16.RD	National Institute of Justice	ASA	54,508
16.RD	National Institute of Justice	UAA	144,913
16.RD	Office of Juvenile Justice and Delinquency Prevention	ASA	4,107
16.RD	<i>ABT Associates, Inc., Contract # OJP-98-C-001</i>	ASA	78,481
16.RD	<i>American Statistical Association, Contract # 96BJCXK011</i>	ASA	3,674
16.RD	<i>Arizona Governors Office—Children, Contract # JBIAA01224400</i>	ASA	178,229
16.RD	<i>City of Mesa, Contract # AGR 02/15/00-001</i>	ASA	620
16.RD	<i>City of Tucson, Contract #s 30300, 030801</i>	UAA	9,692
16.RD	<i>National Opinion Research Center, Contract #s 01/24/02 301B, OJP-2001-C-003</i>	ASA	188,264
16.RD	<i>University of Pittsburgh, Contract # 2000-MU-0007</i>	ASA	398,748
	Subtotal Department of Justice		1,410,373
<u>Department of Labor</u>			
17.RD	U. S. Chamber of Commerce	ASA	10,436
	Subtotal Department of Labor		10,436
<u>Department of State</u>			
19.RD	<i>Council of International Exchange Scholars, Contract # AIAM64278</i>	ASA	13,512
19.RD	<i>Universitat De Cadiz, Letter 11/30/00</i>	ASA	1,719
	Subtotal Department of State		15,231
<u>Department of Transportation</u>			
20.RD	Department of Transportation	UAA	19,181
20.RD	Federal Aviation Administration	ASA	475,447
20.RD	Federal Highway Administration	UAA	70,409
20.RD	National Highway Traffic Safety Administration	UAA	39,474
20.RD	<i>Arizona Department of Transportation, Contract #s JPA01182, JPA98156, JPA99166, JPA0082</i>	UAA	812,384
20.RD	<i>Arizona State Parks Board, Contract # ISA99138</i>	UAA	47,650
20.RD	<i>Bre-Fugro, Contract # HR 9-30</i>	ASA	28,051
20.RD	<i>Easter Seal Research Foundation, Contract # 452611501106</i>	UAA	38,383
20.RD	<i>ERES Consultants, Contract # HR 1-37A</i>	ASA	431,638
20.RD	<i>Georgia Institute of Technology, Contract # E20G13S2</i>	UAA	31,677
20.RD	<i>Governor's Office of Community and Highway, Contract # DTNH2299H27159</i>	UAA	71,490
20.RD	<i>National Academy of Sciences, Contract #s HR923-001, DOT 7900-099</i>	ASA	131,049
20.RD	<i>National Academy of Sciences, Contract # TCRPB22</i>	UAA	5,883
20.RD	<i>National Research Council, Letter 03/05/01</i>	ASA	5,013
20.RD	<i>Ohio State University Research Foundation, Contract #s 739155, 739156</i>	UAA	140,741
20.RD	<i>Siemens Aktiengesellschaft, Contract # DTFH6102C00047</i>	UAA	3,797
20.RD	<i>SRI International, Contract # DTFA03-98-D-00008</i>	ASA	48,064
20.RD	<i>University of California—Berkeley, Contract # SA3503PO525384TO4141</i>	UAA	7,697
20.RD	<i>University of Maryland, Contract # DTFH61-94-C-00045</i>	ASA	308,591
	Subtotal Department of Transportation		2,716,619
<u>Office of Personnel Management</u>			
27.RD	Office of Personnel Management	ASA	61,881
	Subtotal Office of Personnel Management		61,881
<u>General Services Administration</u>			
39.RD	General Services Administration	ASA	784,599
	Subtotal General Services Administration		784,599

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<u>National Aeronautics and Space Administration</u>			
43.RD	Ames Research Center	UAA	395,590
43.RD	Goddard Space Flight Center	UAA	10,424,198
43.RD	John C. Stennis Space Center	UAA	154,991
43.RD	Johnson Space Center	UAA	289,917
43.RD	Kennedy Space Center	UAA	80,882
43.RD	Langley Research Center	UAA	566,605
43.RD	Lewis Research Center	UAA	176,733
43.RD	Marshall Space Flight Center	UAA	648,625
43.RD	NASA Dryden Flight Research Center	UAA	7,909
43.RD	NASA Glenn Research Center	UAA	76,519
43.RD	NASA Headquarters	UAA	209,067
43.RD	National Aeronautics and Space Administration	ASA	3,996,312
43.RD	National Aeronautics and Space Administration	NAA	177,541
43.RD	National Aeronautics and Space Administration	UAA	2,134,968
43.RD	Arizona State University, Contract #s 02066, 00103SC, 01060SG	UAA	51,228
43.RD	Aura, Inc., Contract # 9414257GEM00262	UAA	33,518
43.RD	Boeing Aerospace Company, Contract #s Y50001, S01593	UAA	51,880
43.RD	California Institute of Technology, Contract # 1024045	UAA	2,106
43.RD	Carnegie Institution of Washington, Contract # NASW00002	UAA	60,799
43.RD	Cassini Team Member, Southwest Research Institute, Contract # X99222Q	NAA	26,733
43.RD	Composite Optics, Incorporated, Contract # 55365	UAA	4,696
43.RD	Cornell University, Contract # JPL No. 1223696	ASA	97,858
43.RD	Georgia Institute of Technology, Contract #s G35674G1, G35622G1	UAA	106,295
43.RD	Jet Propulsion Laboratory, Contract #s JPL Task No. 10209, NAS7-1407	ASA	6,361,362
43.RD	Jet Propulsion Laboratory	NAA	11,790
43.RD	Jet Propulsion Laboratory, Contract #s 958696, 958943, 959263, 959969, 960164, 960173, 960489, 960785, 960943, 961006, 961040, 961057, 961126, 961160, 961163, 961164, 961165, 961166, 961167, 961223, 961225, 961286, 961408, 961633, 1208566, 1211447, 1213259, 1216369, 1217713, 1218749, 1219055, 1219132, 1224768, 1224769, 1224959, 1225934, 1226416, 1226582, 1226714, 1226986, 1227728, 1228072, 1228075, 1228128, 1228253, 1228726, 1230296, 1230836, 1231498, 1232202, 1232230, 1232894, 1233711, 1234630, 1235621, 1236412, 1236727, 1236728, 1236841, 1238194, 1239175, 1240551, 1241086	UAA	12,505,946
43.RD	Johns Hopkins University, Contract #s 779936, 782694	UAA	110,717
43.RD	Lockheed Martin Corporation, Contract #s HSPEA5830F, HSPEA5831F, GB82232B15, GC13226B15, GD13200B15	UAA	148,770
43.RD	Lunar Research Institute, Contract # 99102	UAA	48,052
43.RD	National Space Biomedical Research Institute, Contract # NCC958166MA00204	UAA	170,577
43.RD	Ohio Aerospace Institute, Contract # 021422	ASA	5,467
43.RD	Raytheon Company, Contract #s 3000623, 012100MJ7, F0470199R0018	UAA	1,032,339
43.RD	Rice University, Contract # R5170272000002	UAA	5,002
43.RD	Science and Engineering Services, Contract # SCNASA29901	UAA	4,989
43.RD	Science Application International, Contract # NAS9-19180	ASA	58,860
43.RD	Seti Institute, Contract # 5659601-003	ASA	505
43.RD	Seti Institute, Contract # 9049901	UAA	8,912
43.RD	Smithsonian Astrophysical Observatory, Contract # NAS8-39073	ASA	73,468
43.RD	Smithsonian Astrophysical Observatory, Contract # GO01164X	UAA	6,388
43.RD	Southwest Research Institute, Contract #s 83818, 83819, 278980Q	UAA	355,005
43.RD	Space Telescope Science Institute, Contract # NAS5-26555	ASA	244,414
43.RD	Space Telescope Science Institute, Contract #s GO072710196A, GO078860196A, GO081760197A, GO081790197A, GO081810197A, GO081960197A, GO082670497A, GO082680197A, GO082850297A, GO082950297A, GO083290197A, GO083330197A, HF010980197A, HF011060198A, HSTAR0837001A, HSTAR0920201A, HSTAR0952601A, HSTAR0955601A, HSTED9022501A, HSTED9022601A, HSTGO0010803A, HSTGO0222706A, HSTGO0591602A, HSTGO0644403A, HSTGO0659201A, HSTGO0665201A, HSTGO0739701A, HSTGO0741701A, HSTGO0785401A, HSTGO0826601A, HSTGO0828601A, HSTGO0858008A, HSTGO0858401A, HSTGO0862406A, HSTGO0878904A, HSTGO0905701A, HSTGO0906402A, HSTGO0911902A, HSTGO0913305A, HSTGO0913701A, HSTGO0913801A, HSTGO0916101A, HSTHF0111001A, HSTHF0112801A, HSTHF0113501A	UAA	669,956
43.RD	Spectroscopy of Titan; Pre-Cassini/Huygens Studies, Space Telescope Science Institute, Contract #s GO-08229.01-7A, HST-GO-08580.06-A	NAA	38,032
43.RD	Swales & Associates, Incorporated, Contract #s 034581, L000010039	UAA	49,469
43.RD	Universities Space Research Association, Contract #s 760036, 0341300108	UAA	115,487
43.RD	University of Alabama, Contract #s SUB2002149, SUB2000309	UAA	27,897
43.RD	University of Arizona, Contract # NAS7-1407	ASA	121
43.RD	University of Arizona, NAU Space Grant, Contract #s Y702150, Y460920	NAA	126,443
43.RD	University of California Los Angeles, Contract # 0995GCB508	UAA	15,000
43.RD	University of Colorado, Contract # 153427823731	UAA	10,001
43.RD	University of Maryland, Contract # Z667706	UAA	18,976
43.RD	University of Michigan, Contract # F006453	UAA	23,115
43.RD	University of Minnesota, Contract #s NCC3-857, NCC3-811	ASA	38,289
43.RD	University of Pennsylvania, Contract # 536594A	UAA	18,381
43.RD	University of Pittsburgh, Contract # NAG59438	ASA	7,360

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
43.RD	University of Tennessee, Contract # NAG5-11201	ASA	53
43.RD	University of Washington, Contract # 426389	UAA	21,735
	Subtotal National Aeronautics and Space Administration		42,107,848
	<u>National Foundation on the Arts and the Humanities</u>		
45.RD	National Endowment for the Humanities	NAA	35,000
45.RD	National Endowment for the Humanities	UAA	4,492
45.RD	Arizona Department of Library, Archives and Public Records, Contract # 2111402	UAA	20,341
45.RD	Arizona Humanities Council, Contract #s GG2833542001, GG3333572001	UAA	4,257
	Subtotal National Foundation on the Arts and the Humanities		64,090
	<u>National Science Foundation</u>		
47.RD	National Science Foundation	ASA	18,620,022
47.RD	National Science Foundation	NAA	2,386,452
47.RD	National Science Foundation	UAA	31,901,627
47.RD	Alaska Pacific University, Contract # SUBNSF	UAA	30,258
47.RD	Alcatel, Contract # 02/23/01	ASA	51,691
47.RD	American Museum of Natural History, Contract # 52002	UAA	27,950
47.RD	Arizona Science Center, Contract # DMR0120997	ASA	4,588
47.RD	Arizona State University, Contract #s 00112, KMD52701127F00UR001, KMD52701127F00UR007, KMD52701128S01UR009, KMD52701128S01UR010, KMD52701128S01UR016, KMD52701129V01UR004, KMD52701130F01UR009	UAA	113,586
47.RD	Aura, Inc., Contract #s C10345A, C10360A	UAA	37,875
47.RD	California State Library Foundation, Contract # SES-0083934	ASA	2,481
47.RD	Carnegie Mellon University, Contract # CCR9900304	ASA	15,468
47.RD	Carnegie Mellon University, Contract # 54227955123	UAA	72,198
47.RD	College of William & Mary, Contract # LBN0079931	ASA	20,750
47.RD	Cornell University, Contract #s 397616663, 301046191	UAA	69,034
47.RD	Duke University, Contract #s 01SCNSF1023, 02SCNSF044	UAA	83,345
47.RD	Function Genomics Program for Soybean, University of Illinois, Contract # 99-156	NAA	81,094
47.RD	Georgia Institute of Technology, Contract # EEC-9726165	ASA	20,665
47.RD	Kansas State University, Contract # LBN-9632851-021	ASA	11,828
47.RD	Lehigh University, Contract # DMR9972670	ASA	50,873
47.RD	North Carolina State University, Contract # 2001093304	UAA	28,717
47.RD	Northeastern University, Contract # EIA-0085952	ASA	23,247
47.RD	Northern Illinois University, Contract # EAR9873575	ASA	5,535
47.RD	Northwestern University, Contract # REC9806020	ASA	110,194
47.RD	Ohio State University, Contract # ECS-0105320	ASA	37,998
47.RD	Ohio State University Research Foundation, Contract # ECS-9722844	ASA	99
47.RD	Oregon State University, Contract # OCE-9520956	ASA	35,822
47.RD	Pennsylvania State University, Contract #s 2205UANSF9156, 1921UANSF8052, 2034UANSF8052	UAA	159,440
47.RD	Phoenix Union High School District, Contract # 31100	ASA	18,349
47.RD	Pima Community College, Contract # SUB011	UAA	29,686
47.RD	Portland State University, Contract # EIA-0085952	ASA	33,402
47.RD	Rutgers University, Contract # EEC-9713003	ASA	1,336
47.RD	South African Ultra-Deep Mines, Princeton University, Contract # 195-6423-8	NAA	14,419
47.RD	Southwest Research Institute, Contract #s 178974Q, 1789976Q	UAA	3,759
47.RD	Stanford University, Contract # PR0833	UAA	377,322
47.RD	UCLA (at Los Angeles), Contract # DMS-0107428	ASA	14,664
47.RD	University of Arizona, Contract #s DMI-0122227, EAR9876800, EEC-9523338, EEC-9528813, EEC-9815075, EIA-9980306, PO Q432551-004	ASA	384,400
47.RD	University of Chicago, Contract # 16176	UAA	10,578
47.RD	University of Colorado, Contract # S17122	UAA	29,238
47.RD	University of Delaware, Contract # OCE-0083837	ASA	13,585
47.RD	University of Florida, Contract #s SC00011513, UF99094	UAA	284,616
47.RD	University of Illinois—Urbana-Champaign, Contract # ACI9619019	ASA	65,891
47.RD	University of Illinois, Contract # 02348C2, 02348C1	UAA	354,625
47.RD	University of Maryland, Contract # Z367401	UAA	40,132
47.RD	University of Massachusetts, Contract # 01SO4822726	UAA	47,374
47.RD	University of Minnesota, Contract # ECS0099880	ASA	11,664
47.RD	University of Missouri, Contract # DBI9975808UAC532798	UAA	220,946
47.RD	University Missouri-Columbia, Contract # CMS0115828	ASA	25,382
47.RD	University of Nevada, Contract # 02449A0	UAA	3,884
47.RD	University of New Mexico, Contract # DUE0101311	ASA	58,154
47.RD	University of Pittsburgh, Contract # 101163	UAA	26,872
47.RD	University of Rochester, Contract # 411895G	UAA	15,880
47.RD	University of Southern California, Contract # 44460	UAA	32,715
47.RD	University of Tennessee, Contract # OR87800111	ASA	15,377
47.RD	University of Vermont, Contract # EF51	UAA	8,300

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
47.RD	Water Sustainability, <i>University of Arizona</i> , Contract # Y542104	NAA	19,503
47.RD	<i>Wayne State University</i> , Contract # ANI0086020	ASA	175,892
47.RD	<i>Wayne State University</i> , Contract # WSU01160	UAA	77,292
47.RD	<i>Woods Hole Ocean Institute</i> , Contract # OCE9973212	ASA	9,301
	Subtotal National Science Foundation		56,427,375
<u>Smithsonian Institution</u>			
60.RD	Smithsonian Astrophysical Observatory	UAA	39,575
60.RD	Smithsonian Institution	UAA	926,793
	Subtotal Smithsonian Institution		966,368
<u>Department of Veterans Affairs</u>			
64.RD	Department of Veterans Affairs	ASA	13,768
64.RD	Department of Veterans Affairs	UAA	276,920
64.RD	<i>Biomedical Research Foundation of Southern Arizona</i> , Contract # CK6756	UAA	34,786
	Subtotal Department of Veteran Affairs		325,474
<u>Environmental Protection Agency</u>			
66.RD	Environmental Protection Agency	NAA	13,663
66.RD	Environmental Protection Agency	UAA	1,568,876
66.RD	Office of Administration	ASA	380,513
66.RD	Office of Research and Development	ASA	135,067
66.RD	<i>American Bird Conservancy</i> , Contract # CK5053	UAA	27,425
66.RD	<i>Arizona State University</i> , Contract # 99115SG	UAA	258,162
66.RD	<i>City of Tucson</i> , Contract #s 08758, 034701	UAA	24,315
66.RD	Delineation of Capture Zones, <i>Kaibab Paiute Tribe</i> , Letter	NAA	14,740
66.RD	<i>Health Effects Institute</i> , Contract # 11	UAA	134,988
66.RD	<i>Kaibab Band of Paiute Indians</i> , Contract # 26607110	UAA	8,590
66.RD	<i>Metropolitan Water District of Southern California</i> , Contract # 44194	UAA	33,912
66.RD	<i>Naco Fire District</i> , Contract # BP989066-01-0	ASA	38,283
66.RD	<i>New Mexico State University</i> , Contract #s X82899901, X82899901-0	ASA	215,481
66.RD	OnSite Wastewater Treatment, <i>Arizona Department of Environmental Quality</i> , Contract # 98-0040	NAA	34,062
66.RD	<i>San Diego State University</i> , Contract # 52037AP27217802211-001	ASA	10,048
66.RD	<i>San Diego State University Foundation</i> , Contract #s CR 856386-01-0, CR 826386-01-0	ASA	402
66.RD	<i>University of Arizona</i> , Contract # R827150010	ASA	18,190
66.RD	<i>University of Rochester</i> , Contract # R827354010	ASA	78,155
66.RD	<i>University of Texas at El Paso</i> , Contract #s CX 827370-01-0, CX 827370-01-2, X82737001-2	ASA	185,470
66.RD	<i>University of Wisconsin</i> , Contract # L158255	UAA	28,201
	Subtotal Environmental Protection Agency		3,208,543
<u>Nuclear Regulatory Commission</u>			
77.RD	Nuclear Regulatory Commission	UAA	281,489
	Subtotal Nuclear Regulatory Commission		281,489
<u>Department of Energy</u>			
81.RD	Department of Energy	ASA	1,295,302
81.RD	Department of Energy	NAA	402,995
81.RD	Department of Energy	UAA	3,174,487
81.RD	<i>Argonne National Laboratory</i> , Contract # W31109ENG38	ASA	135,793
81.RD	<i>Battelle Pacific Northwest Laboratories</i> , Contract #s 401592A3E, 325651AN4411671AB3	UAA	433,270
81.RD	<i>Bechtel Corporation</i> , Contract #s MC00000062RN00002, 00000062R00003	UAA	79,908
81.RD	<i>Brookhaven National Laboratory</i> , Contract # 4054	UAA	298,832
81.RD	<i>Education, Research & Development Association of Georgia University</i> , Contract # GA0054KE375340	UAA	13,345
81.RD	<i>Electric Power Research Institute</i> , Contract # ECP368C990-001	ASA	5,513
81.RD	<i>Fermi National Accelerator Laboratory</i> , Contract #s 537060, 521692, 502383, 513061	UAA	71,702
81.RD	<i>Georgia Institute of Technology</i> , Contract # G35A01G1	UAA	18,493
81.RD	Hazardous Waste, <i>Clark Atlantic University</i> , Contract # OSP-90-10-668-013	NAA	66,847
81.RD	<i>Lawrence Berkeley Laboratory</i> , Contract # 6496612	UAA	65,279
81.RD	<i>Lawrence Livermore National Laboratory</i> , Contract #s 98218C, W7405ENG48	ASA	40,200
81.RD	<i>Lawrence Livermore National Laboratory</i> , Contract #s B506252, B513153, B511331, B513226	UAA	327,601
81.RD	<i>Los Alamos National Laboratory</i> , Contract # W-7405-ENG-36	ASA	20,873
81.RD	<i>Los Alamos National Laboratory</i> , Contract #s 07574001992G, 172300010197, 47128001022Z	UAA	11,443
81.RD	<i>Mactec Environmental Restoration Services</i> , Contract #s 22931, 23073	UAA	11,135
81.RD	<i>Massachusetts Institute of Technology</i> , Contract # DEFC0294ER40818	ASA	43,019
81.RD	<i>National Institute for Global Environment</i> , Contract # DEFC0390ER61010	ASA	74,811

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
81.RD	National Renewable Energy Laboratory, Contract #s DEAC3698GO10337, DEAC3699GO10337	ASA	52,059
81.RD	National Renewable Energy Laboratory, Contract # ACQ13061901	UAA	8,878
81.RD	National Research Council, Contract # 8140001/8135001	UAA	1,596
81.RD	Pennsylvania State University, Contract # 2185UADOE5012	UAA	21,972
81.RD	Pipeline Project, University of Utah, Contract # 98-E-18	NAA	72,286
81.RD	Praxair, Incorporated, Contract # DEFT2600NT40756	UAA	28,967
81.RD	Purdue University, Contract # 54100721	UAA	41,497
81.RD	Rutgers, The State University of New Jersey, Contract #s R945117, 1342	UAA	82,078
81.RD	Sandia National Laboratories, Contract #s 17155, 17441-001, A0349-005, DEAC0494A185000, DEAC3698GO10337	ASA	185,689
81.RD	Sandia National Laboratories, Contract #s 4293, A033413664, A033420803, A033421294, A033427240, A033427258, A033429209, A033429652, A033430141, A033430965	UAA	271,266
81.RD	T. Jefferson National Accelerator Facility, Contract # DEAC0584ER40150	ASA	42,745
81.RD	University of California at Davis, Contract # DEFC0390ER61010	ASA	29,447
81.RD	University of California Santa Cruz, Contract # SC19983310	UAA	30,415
81.RD	University of Chicago, Contract # 15285B341495	UAA	77,220
81.RD	University of Nevada, Contract #s UNR0224, NV1404900	UAA	34,542
81.RD	University of Texas, Contract # 00012052	UAA	90,401
81.RD	University of Texas at El Paso, Contract #s DE-FC04-01A167097-001, EE-FC04-01A167097-001	ASA	75,897
81.RD	University of Wisconsin, Contract # A019493	UAA	28,375
81.RD	US Automotive, Contract # DEFC0595OR22363	ASA	94,157
	Subtotal Department of Energy		7,860,335
Federal Emergency Management Agency			
83.RD	FEMA CBO/FBO Pilot Study, STAR Tangle, LLC, Contract # EMW-2001-CO-0344	NAA	32,619
	Subtotal Federal Emergency Management Agency		32,619
Department of Education			
84.RD	Department of Education	ASA	1,665,097
84.RD	Department of Education	NAA	699,001
84.RD	Department of Education	UAA	1,409,902
84.RD	Arizona Board of Regents, Contract #s 99200102, Letter 12/19/01, Letter 02/04/02	ASA	130,550
84.RD	Arizona Department of Education, Contract #s 0218ED, 0211ED	UAA	70,289
84.RD	Embry Riddle Aeronautical University, Contract # P116D990161	ASA	27,880
84.RD	Medical Records Knowledge, University of Las Vegas, Contract # UNV01-1	NAA	47,822
84.RD	Native Educators, Arizona State University, Contract # 02-081	NAA	11,780
84.RD	Paradise Valley School District, Contract # 010293-003, T288S000120	ASA	18,755
84.RD	Phoenix-Parks, Recreation Library, Contract # H128J010006	ASA	5,250
84.RD	RPP International, Contract # 98180C-01	ASA	2,504
84.RD	Thomas Jefferson University, Contract # H327X010003	ASA	77,358
84.RD	Tri-University Coalition; Safe and Drug Free Schools Program, University of Arizona, Contract #s V900808, V970868	NAA	32,261
84.RD	University of California, Santa Cruz, Contract # SC96243D	UAA	19,733
84.RD	University of Houston, Contract # K05503815522163912	UAA	2,676
84.RD	University of Maryland, Contract # H324J990003	ASA	65,457
84.RD	University of Virginia, Contract # P342A990068	ASA	8,158
	Subtotal Department of Education		4,294,473
National Archives and Records Administration			
89.RD	National Archives and Records Administration	UAA	50,208
	Subtotal National Archives and Records Administration		50,208
Department of Health and Human Services			
93.RD	Administration for Children and Families	ASA	113,106
93.RD	Administration for Children and Families	UAA	149,880
93.RD	Agency for Health Care Policy and Research	ASA	33,002
93.RD	Agency for Healthcare Research and Quality	UAA	723,098
93.RD	Centers for Disease Control and Prevention	UAA	1,734,356
93.RD	Centers for Medicare and Medicaid Services	UAA	273,749
93.RD	Department of Health and Human Services	NAA	1,215,386
93.RD	Fogarty International Center	UAA	1,035,729
93.RD	Food and Drug Administration	UAA	90,635
93.RD	Health Care Financing Administration	ASA	45,219
93.RD	Health Resources and Services Administration	UAA	785,321
93.RD	Indian Health Service	ASA	16,821
93.RD	National Cancer Institute	UAA	29,401,444
93.RD	National Center for Complementary and Alternative Medicine	UAA	2,978,266
93.RD	National Center for Research Resources	UAA	1,897,229

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.RD	National Eye Institute	UAA	1,192,244
93.RD	National Heart, Lung, and Blood Institute	UAA	13,080,140
93.RD	National Human Genome Research Institute	UAA	25,935
93.RD	National Institute on Aging	UAA	2,985,160
93.RD	National Institute on Alcohol Abuse and Alcoholism	UAA	818,730
93.RD	National Institute of Allergy and Infectious Diseases	UAA	2,751,776
93.RD	National Institute of Arthritis and Musculoskeletal and Skin Diseases	UAA	404,809
93.RD	National Institute of Biomedical Imaging and Bioengineering	UAA	228,531
93.RD	National Institute of Child Health and Human Development	UAA	1,356,368
93.RD	National Institute on Deafness and Other Communication	UAA	2,022,365
93.RD	National Institute of Diabetes and Digestive and Kidney Diseases	UAA	3,276,544
93.RD	National Institute on Drug Abuse	UAA	3,396,010
93.RD	National Institute of Environmental Health Sciences	UAA	5,128,174
93.RD	National Institute of General Medical Services	UAA	7,667,328
93.RD	National Institutes of Health	ASA	11,010,182
93.RD	National Institute of Mental Health	UAA	1,919,317
93.RD	National Institute of Neurological Disorders and Stroke	UAA	3,371,032
93.RD	National Institute of Nursing Research	UAA	1,063,257
93.RD	National Library of Medicine	UAA	169,738
93.RD	Substance Abuse and Mental Health Administration	UAA	759,077
93.RD	Women's Health Initiative	UAA	1,348,719
93.RD	AdvancePCS Health, L.P., Contract # 2001N08014	UAA	22,768
93.RD	AMC Cancer Research Center, Contract #s 7004621, 7009102	UAA	43,102
93.RD	American College of Obstetricians, Contract # U01HS09506	UAA	1,183
93.RD	American College of Radiology, Contract # 4701	UAA	74
93.RD	Arizona Childrens Association, Contract #s CK109698, CK11003, 90CO0916	UAA	30,954
93.RD	Arizona Department of Economic Security, Contract # E6209023	UAA	5,549
93.RD	Arizona Department of Health Services, Contract #s 152055, 161038, 832005, 932012, 932019, H321007S001	UAA	271,027
93.RD	Arizona State University, Contract #s 99145, 98246SG	UAA	62,595
93.RD	Arkansas Children's Hospital Research Institute, Inc., Contract # 034225	UAA	205,650
93.RD	Association of Teachers of Preventive Medicine, Contract #s TS2921414, TS3521515	UAA	123,664
93.RD	Bernard Technologies, Inc., Contract # 8266584	UAA	29,415
93.RD	California State University, Fresno Foundation, Contract # 12744000	UAA	4,370
93.RD	Case Western Reserve University, Contract #s R01MH48977, R01MH54648	UAA	340
93.RD	Claremont Graduate University, Contract # R01DA12578	UAA	81,327
93.RD	Codac Behavioral Health Services of Pima, Contract #s H79TI12145, KD1TI11422	UAA	197,062
93.RD	Collagen Matrix, Incorporated, Contract # R44DE14664	UAA	98,427
93.RD	Community Partnership of Southern Arizona, Contract # L0016/L0116	UAA	95,790
93.RD	Creare, Incorporated, Contract # 29586	UAA	14,360
93.RD	Duke University, Contract #s DS698, DS334	UAA	38,858
93.RD	Empact-SPC, Contract # KD1TI11892	UAA	225,208
93.RD	Family Health International, Contract # N01HD732715009	UAA	87,314
93.RD	Fordham University, Contract # R01AG18436	UAA	3,405
93.RD	George Washington University, Contract # 678	UAA	154
93.RD	Good Samaritan, Contract #s MH5789903, MH57899-04	ASA	33,869
93.RD	Griffin Health Service Corporation, Contract # U48CCU115802	UAA	45,244
93.RD	Gynecologic Oncology Group Administrative, Contract #s CA27469, CA6765004CCOP, GOG167, R01AR43348	UAA	53,057
93.RD	Indiana University, Contract # R01DA13555-20	ASA	3,595
93.RD	Indiana University, Contract # U01GM61373	UAA	144,546
93.RD	Indiana University—School of Medicine, Contract # R03-MH60825	ASA	7,059
93.RD	Landmarks, Bearings, Way-Findg, University of Nebraska-Lincoln, Contract # 24-0506-0008-002	NAA	73,828
93.RD	Lynntech, Incorporated, Contract # R44ES08861	UAA	115,530
93.RD	Marine Biological Laboratory, Contract # 9564011	UAA	117,160
93.RD	Massachusetts Institute of Technology, Contract # 5-R37-CA04186-43	ASA	59,720
93.RD	Mayo Clinic, Contract # U01CA89389	UAA	51,007
93.RD	McMaster University, Contract # R01 HD37448-01	ASA	24,219
93.RD	Metaphore Pharmaceuticals, Inc., Contract # R43DA13534	UAA	39,011
93.RD	National Childhood Cancer Foundation, Contract # 7638	UAA	12,922
93.RD	National Opinion Research Center, Contract # NORC5579UAZ01	UAA	26,511
93.RD	Navajo Nation-Division of Social Services, Contract # 4422766100/6150	ASA	2,576
93.RD	Northern Arizona University, Contract # BIO31Q1R21AG18701	UAA	25,104
93.RD	NSABP Foundation, Incorporated, Contract #s MIN16ARZ01, MIN17ARZ02	UAA	107,826
93.RD	Ohio State University, Contract # 740196	UAA	44,614
93.RD	Ohio State University Research Foundation, Contract # 741173RF00906518	UAA	218,652
93.RD	Oregon Health Sciences University, Contract # 1R01DA1201801A1	ASA	112,033
93.RD	Oregon Health Sciences University, Contract # 8401236A	UAA	12,826
93.RD	Pasqua Yaqui Tribe, Contract # CK33487	UAA	17,355
93.RD	Pennsylvania State University, Contract # 2R01DA05629	ASA	109,711

State of Arizona
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.RD	<i>Pima County Juvenile Courts, Contract # H79TI12449</i>	UAA	26,319
93.RD	<i>Public Health Institute, Contract # 1003089-001</i>	ASA	21,056
93.RD	<i>Rutgers, The State University of New Jersey, Contract #s 1187, 1220</i>	UAA	69,966
93.RD	<i>Salt River Pima-Maricopa Indian, Contract # AGR 10/01/01</i>	ASA	23,749
93.RD	<i>Southwest Oncology Group, Contract #s CCOP00001, CCOP00015, CCOP01005, CCOP01009, SELENIUM00001, SELENIUM01000, SELENIUM99005, SWOG01016, SWOG01017, SWOG02000, SWOG02001</i>	UAA	444,488
93.RD	<i>Sun Health Research Institute, Contract # 1 P30 AG19610-01</i>	ASA	15,589
93.RD	<i>Sun Health Research Institute, Contract # 194875P30AG19610</i>	UAA	94,214
93.RD	<i>Superior Court Maricopa County, Contract # 5UD8TI11210</i>	ASA	53,984
93.RD	<i>The Wheel Council, Incorporated, Contract # 1SP09865</i>	UAA	4,422
93.RD	<i>Thomas Jefferson University, Contract # 08006000R06305</i>	UAA	15,345
93.RD	<i>Toxicology in Particulate Cr, Yale University, Letter</i>	NAA	14,117
93.RD	<i>Tucson Urban League, Contract # CK68109</i>	UAA	66,372
93.RD	<i>University of Alabama, Contract # 5R01HL5342606</i>	ASA	15,426
93.RD	<i>University of Arizona, Contract # 1G920A00059</i>	ASA	200,064
93.RD	<i>University of California—Irvine, Contract #s D98NS26862, DB97CA72294AZ, B97N01CN750191, F99CA81886UA</i>	UAA	342,574
93.RD	<i>University of California—Los Angeles, Contract #s 1430GBB879, 1930GBB418, 1930GBB428, 1564GCB973</i>	UAA	62,150
93.RD	<i>University of California, San Diego, Contract # 10154581, 10200872</i>	UAA	297,197
93.RD	<i>University of California at San Francisco, Contract # 301B</i>	ASA	44,798
93.RD	<i>University of California—Santa Barbara, Contract # KK2122</i>	UAA	35,228
93.RD	<i>University of Cincinnati, Contract # R01HL66044</i>	UAA	134,683
93.RD	<i>University of Colorado, Contract #s 2573167FY02001001, 2573219, FY0100100825573106, U01CA74799</i>	UAA	356,139
93.RD	<i>University of Denver, Contract # 119I10</i>	UAA	19,166
93.RD	<i>University of Florida, Contract # R01 NS39687</i>	ASA	92,350
93.RD	<i>University of Iowa, Contract # 4000066560</i>	UAA	24,030
93.RD	<i>University of Kentucky Research Foundation, Contract # R01CCR414307</i>	ASA	26,023
93.RD	<i>University of Maryland, Contract # S01079</i>	UAA	37,639
93.RD	<i>University of Nebraska, Contract #s R01NS34239, U01CA84967</i>	UAA	232,469
93.RD	<i>University of North Carolina, Contract # 551755PO1HD31921</i>	UAA	109,267
93.RD	<i>University of Pennsylvania, Contract # 538107</i>	UAA	116,949
93.RD	<i>University of Texas, Contract #s GALLH320, U10EY12471, UTA00031, P01AI46029</i>	UAA	543,875
93.RD	<i>University of Texas Health Sciences Center at Houston, Contract # K178115AZ</i>	UAA	3,748
93.RD	<i>University of Toronto, Contract # 450489</i>	UAA	88,827
93.RD	<i>University of Utah, Contract #s 9903062, 9903093</i>	UAA	253,712
93.RD	<i>University of Washington, Contract # 211613</i>	UAA	88,522
93.RD	<i>Veterans Medical Research Foundation, Contract #s 217975S6B264050315762, P01AI37232</i>	UAA	108,644
93.RD	<i>Viron, Incorporated, Contract # CK1009</i>	UAA	29,120
93.RD	<i>Wake Forest University, Contract # NS34447</i>	UAA	61,317
93.RD	<i>Washington University, Contract # 3R01HG0172004S2</i>	ASA	9,693
93.RD	<i>Wayne State University, Contract #s WSU96070, WSU98060</i>	UAA	38,098
93.RD	<i>Westat, Inc., Contract # 270977011</i>	UAA	247,434
	Subtotal Department of Health and Human Services		112,038,011
	Miscellaneous Federal Agencies		
99.RD	National Reconnaissance Office	UAA	264,674
99.RD	U.S. Government	UAA	80,037
	Subtotal Miscellaneous Federal Agencies		344,711
	Total Research and Development Cluster		\$ 285,637,166
	Total Expenditures of Federal Awards		\$ 5,882,148,980

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

Note 1 - Significant Accounting Policies

Basis of Presentation—The information in the schedule is presented in accordance with the requirements of OMB Circular A-133.

Reporting Entity—The schedule includes all federal awards activity administered by the State of Arizona.

Basis of Accounting—The federal awards reported in the schedule were presented in the State's governmental and proprietary funds and discretely presented component units on the basic financial statements of the State of Arizona for the year ended June 30, 2002; and were accounted for using the modified accrual and full accrual basis of accounting, as applicable, in conformity with generally accepted accounting principles.

Expenditures—Certain transactions relating to expenditures of federal awards may appear in the records of more than one state grantee agency. To avoid duplication and the overstatement of the aggregate level of federal awards expended by the State of Arizona, the following policies have been adopted:

1. When monies are received by one state grantee agency and distributed to another state grantee agency, the federal monies are reported in the accounts of the state grantee agency that expends the monies.
2. Purchases of services between state grantee agencies using federal monies are recorded as expenditures on the purchasing agency's records and as revenues for services rendered on the providing agency's records. Therefore, the receipt of federal awards is attributed to the purchasing agency, which is the primary receiving/expending state grantee agency.

Note 2 - Catalog of Federal Domestic Assistance (CFDA)/Identifying Number

The program titles and CFDA numbers were obtained from the federal or pass-through grantor or the *2002 Catalog of Federal Domestic Assistance*. When a CFDA number was nonexistent or unknown, the first two digits applicable to the federal agency, if known, were used; followed by the federal contract number to identify the program. If the federal contract number was also unknown, the identifying number was composed of the first two digits applicable to the federal agency followed by the word "Unknown."

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

Note 3 - Research and Development Cluster

As provided by OMB Circular A-133, the research and development cluster of programs is summarized by federal agency subdivision or pass-through entity.

Note 4 - Pass-through Grantor Contract Numbers

For federal awards received by the State from a pass-through grantor, the pass-through grantor contract number is included, if applicable, immediately following the pass-through grantor's name. Pass-through grantors are italicized.

Note 5 - Loan and Loan Guarantee Programs

Only administrative costs incurred for loan and loan guarantee programs are included in the schedule. However, OMB Circular A-133, Subpart B, §205(b) requires that the current year administrative costs and loan distributions, and the balances of the previous year's outstanding student loans and loan guarantees, also be included for these programs when determining federal awards expended for single audit purposes. Further, Subpart B, §310(b)(6) requires that loans or loan guarantees outstanding at year-end be included in either the schedule or a note to the schedule. Loans and loan guarantees outstanding at June 30, 2002, are described below.

Student Loan Programs

The Universities administer the following three federal student loan programs. The current-year loan distributions and the balances of loans outstanding at year-end are shown below.

Loan Program	CFDA Number	Loans Distributed During the Year	Loan Balances Outstanding at June 30, 2002
Federal Perkins Loan Program—Federal Capital Contributions	84.038	\$5,132,940	\$29,625,675
Health Professions Student Loans	93.342	233,180	2,016,761
Nursing Student Loans	93.364	203,508	999,836

In addition, the Universities distribute loans from two federal loan programs that are administered by independent third parties. For the year ended June 30, 2002, the Universities distributed \$101,767,584 of Federal Family Education Loans (CFDA number 84.032) and \$176,925,745 of Federal Direct Student Loans (CFDA number 84.268).

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

Loan Guarantee Programs

The Water Infrastructure Finance Authority (WIFA), a discretely presented component unit on the State's fiscal year 2002 basic financial statements, has entered into capitalization grant agreements with the U.S. Environmental Protection Agency. During fiscal year 2002, the WIFA received capitalization grant monies totaling \$9,398,715 from the Capitalization Grants for State Revolving Funds (CFDA number 66.458) program and \$2,986,417 from the Capitalization Grants for Drinking Water State Revolving Fund (CFDA number 66.468) program for deposit into their revolving loan guarantee funds. Further, the balances of the federal guarantee portion of the loans outstanding for these capitalization grants were \$133,454,307 and \$42,731,540, respectively, at June 30, 2002.

Housing Program

The Department of Housing administers the HOME Investment Partnerships Program (CFDA number 14.239), which provides loans to towns, nonprofit organizations, and individuals to purchase homes and rental properties. The Department distributed \$2,795,757 in loans during fiscal year 2002 and had \$14,732,707 in loan balances outstanding at June 30, 2002.

Note 6 - Food Commodities

Food commodities received by the State are disbursed through distributing agencies. Such commodities are included in the definition of federal awards when distributed and, accordingly, are included in the amounts presented on the schedule. The State's food commodity distributions totaled \$27,763,862, and the amounts, by CFDA number, are presented as follows:

<u>CFDA No.</u>	<u>Program</u>	<u>Amount</u>
10.553	School Breakfast Program	\$ 595,627
10.555	National School Lunch Program	14,934,687
10.565	Commodity Supplemental Food Program	4,417,385
10.569	Emergency Food Assistance Program (Food Commodities)	7,816,163

Note 7 - Donation of Federal Surplus Personal Property

The value of the Donation of Federal Surplus Personal Property program (CFDA number 39.003) reported on the schedule is based on a "market basket formula" developed by the U.S. General Services Administration. This "market basket formula" represents 23.3 percent of the original federal acquisition cost, totaling \$181,118 for the year ended June 30, 2002.

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

Note 8 - Immunization and HIV Grants

The Immunization Grants (CFDA number 93.268) expenditures of \$21,969,468 include \$17,910,461 worth of vaccines. The HIV Care Formula Grants (CFDA number 93.917) expenditures of \$8,136,507 include \$5,884,512 worth of vaccines. Vaccines administered by the Department of Health Services are included in the definition of federal awards and, accordingly, are included in the amount presented on the schedule.

Note 9 - Unemployment Insurance (CFDA No. 17.225)

The unemployment compensation system is a unique federal-state partnership, founded upon federal law, but implemented through state law. As prescribed by the U.S. Department of Labor in consultation with the Office of Management and Budget, certain state monies, in addition to federal monies, were considered federal awards for determining Type A programs, and were included in the Schedule of Expenditures of Federal Awards.

The amount presented on the schedule is comprised of the following:

Regular unemployment compensation benefits	\$400,737,139
Unemployment compensation for federal employees	4,172,338
Unemployment compensation for ex-service members	1,497,004
Miscellaneous training programs	1,278,944
Administrative costs	<u>34,697,356</u>
Total expenditures	<u>\$442,382,781</u>

State of Arizona
 Schedule of Findings and Questioned Costs
 Year Ended June 30, 2002

Summary of Auditors' Results

Financial Statements

Type of auditors' report issued:	Unqualified	
	YES	NO
Material weakness identified in internal control over financial reporting?	___	<u>X</u>
Reportable condition identified not considered to be a material weakness?	<u>X</u>	___
Noncompliance material to the financial statements noted?	___	<u>X</u>

Federal Awards

Material weaknesses identified in internal control over major programs?	<u>X</u>	___
Reportable conditions identified not considered to be material weaknesses?	<u>X</u>	___
Type of auditors' report issued on compliance for major programs:	Qualified	
Any audit findings disclosed that are required to be reported in accordance with Circular A-133 (section .510[a])?	<u>X</u>	___

Identification of major programs:

<u>CFDA Numbers</u>	<u>Name of Federal Program or Cluster</u>
10.553/10.555/10.556/ 10.559	Child Nutrition Cluster
10.558	Child and Adult Care Food Program
10.568/10.569	Emergency Food Assistance Cluster
16.606	State Criminal Alien Assistance Program
17.225	Unemployment Insurance
17.235	Senior Community Service Employment Program
20.205	Highway Planning and Construction
66.458	Capitalization Grants for State Revolving Funds
66.468	Capitalization Grants for Drinking Water State Revolving Fund
84.010	Title I Grants to Local Educational Agencies
84.027/84.173	Special Education Cluster
84.048	Vocational Education—Basic Grants to States
84.340	Class Size Reduction
84.348	Title I Accountability Grants

State of Arizona
 Schedule of Findings and Questioned Costs
 Year Ended June 30, 2002

<u>CFDA Numbers</u>	<u>Name of Federal Program or Cluster</u>
93.268	Immunization Grants
93.558	Temporary Assistance for Needy Families
93.575/93.596	Child Care Cluster
93.667	Social Services Block Grant
93.767	State Children's Insurance Program
93.779	Center for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations
96.001	Social Security—Disability Insurance
RD	Research and Development Cluster

Dollar threshold used to distinguish between Type A and Type B programs: \$19,153,209

YES NO

Auditee qualified as low-risk auditee? ___ X

Other Matters

Auditee's Summary Schedule of Prior Audit Findings required to be reported in accordance with Circular A-133 (section .315[b])? X ___

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

Financial Statement Findings

02-01

The State's Information Services Division has not completed a Disaster Recovery Plan

The State's Information Services Division operates the State's computer system. Should that system fail, the State would be unable to process critical transactions necessary to ensure its daily operations. The Division has begun work on a project to develop a disaster recovery plan, called a business continuity plan, for all its core information systems. However, as of the 2002 fiscal year-end, the Division has not completed a formal plan for processing critical jobs in the event of a major hardware, software, or telecommunications failure. This is similar to a prior-year finding that has not been corrected.

To help ensure that critical jobs can be processed in the event of a major hardware, software, or telecommunications failure, the Information Services Division should complete its business continuity plan as soon as possible. The plan should include a formal equipment backup agreement that contains the following requirements:

- Machine time availability
- Application-processing priorities
- Information exchanges regarding equipment configuration/system software changes
- Testing procedures
- Cost
- Voice and data communication recovery

A formal backup agreement could be costly to the State; therefore, the Information Services Division should evaluate the cost/benefit and feasibility of entering into a consortium with other states that have similar accounting systems to negotiate a formal equipment backup agreement with an outside vendor. This could help to reduce the State's overall cost.

Finally, a copy of the business continuity plan should be maintained at a secure off-site location and updated when changes occur.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

Federal Award Findings and Questioned Costs

02-101

CFDA No.: 93.268 Immunization Grants, #H23/CCH904519

U.S. Department of Health and Human Services

Award Period: July 1, 2001 through June 30, 2002

Questioned Cost: Unknown

The Department of Health Services did not have adequate internal control policies and procedures to ensure county subrecipients' Schedules of Expenditures of Federal Awards (SEFAs) included the federal monies the Department passed through to them. The Department received the subrecipients' audited SEFAs and performed a reconciliation of the grant revenue amounts the Department passed through to the counties with the related grant expenditure amounts the counties reported on their schedules. One of the 15 counties did not record any expenditures on its SEFA for the Immunization Grant monies received from the Department and another county recorded the monies under the wrong CFDA number. The Department did not contact the counties to inform them of these discrepancies.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the program's subrecipient monitoring requirements.

To comply with OMB Circular A-133, §.400 (d), the Department should ensure expenditures related to federal grant monies passed through from the Department are properly reported on its subrecipients' SEFAs.

02-102

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A000003B

U.S. Department of Education

Award Period: July 1, 2001 through September 30, 2002

Questioned Cost: Unknown

The U.S. Department of Education allocates federal monies for the Elementary and Secondary Education Act Program Grants, including Title I Grants to Local Educational Agencies to the states, based on the states' average per pupil expenditures. During the grant application process, the Arizona Department of Education submits average per pupil expenditure data to the National Center for Educational Statistics using the National Public Education Survey form.

The Department did not have adequate procedures to ensure that it prepared the National Public Education Survey accurately since there were several errors on the Survey submitted by the Department on September 1, 2002. Specifically, revenue from intermediate sources was understated by \$158,862,880, revenue from state sources was understated by \$424,664,802, and operations and management-other expenditures were understated by \$3,000,000. The net effect of these errors would potentially overstate per pupil expenditures and the State's allotment for the 2004 award year. Further, the Department submitted adjustments subsequent to the initial filing of the Survey that were not supported.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the program's reporting requirements.

To help ensure compliance with the reporting requirements of the program, the Department should implement policies and procedures to ensure the National Public Education Survey is accurate and all adjustments are properly supported.

02-103

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A000003B

CFDA No.: 84.027 Special Education—Grants to States, #H027A010007

CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A010003A

CFDA No.: 84.173 Special Education—Preschool Grants, #H173A010003

CFDA No.: 84.340 Class Size Reduction, #A340A000003

CFDA No.: 84.348 Title I Accountability Grants, #S348A010003

U.S. Department of Education

Award Period: July 1, 2001 through September 30, 2002

Questioned Cost: Unknown

The U.S. Department of Education's *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments*, 34 Code of Federal Regulations (CFR), §80.37(a)(4) requires states to substantially conform any advances of grant monies to subgrantees to the same standards of timing and amount that apply to cash advances by federal agencies. However, the Arizona Department of Education did not have adequate policies and procedures in place to ensure that its subrecipients were in compliance with cash management compliance requirements. The Department allowed subrecipients to accumulate up to 4 percent of their budgeted awards as excess cash, and this accumulated excess cash was not returned to the Department until the subrecipients submitted their annual completion reports to the Department on September 30. None of the subrecipients selected for test work had cash that exceeded 4 percent of their budgeted awards or excess cash that was not returned to the Department by September 30.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the programs' cash management requirements. This instance of noncompliance with cash management requirements is similar to a prior-year finding.

To help ensure compliance with cash management requirements, the Department should require subrecipients to conform to the same standards of timing and amount for the drawdown of federal monies as prescribed in the Cash Management Improvement Act agreement between the State of Arizona and the Secretary of Treasury.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

02-104

CFDA No.: 84.027 Special Education—Grants to States, #H027A010007
CFDA No.: 84.173 Special Education—Preschool Grants, #H173A010003
U.S. Department of Education
Award Period: July 1, 2001 through September 30, 2002

Questioned Cost: Unknown

The Improving America's Schools Act of 1994 §§1120A and 14501 requires the Arizona Department of Education to perform maintenance-of-effort calculations before awarding Special Education monies to its subrecipients.

However, the Department did not have adequate policies and procedures for determining maintenance of effort before awarding monies to subrecipients. The Department attempted to perform calculations for subrecipients after we notified them of this deficiency. However, the Department had incomplete data and was not able to perform the calculations for many of the subrecipients.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a material weakness and material noncompliance with the programs' maintenance-of-effort requirements.

To help ensure compliance with the Improving America's Schools Act of 1994 §§1120A and 14501, the Department should implement internal control procedures to ensure that subrecipients' maintenance-of-effort calculations are performed by Department personnel prior to awarding program monies to subrecipients. Further, the procedures developed should include a method to ensure that the Department obtains all required data to perform the calculations.

02-105

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A000003B
CFDA No.: 84.027 Special Education—Grants to States, #H027A010007
CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A010003A
CFDA No.: 84.173 Special Education—Preschool Grants, #H173A010003
CFDA No.: 84.340 Class Size Reduction, #A340A000003
CFDA No.: 84.348 Title I Accountability Grants, #S348A010003
U.S. Department of Education
Award Period: July 1, 2001 through September 30, 2002

Questioned Cost: Unknown

As part of the Department's cash management internal control policies and procedures, the Department reconciles monthly the amount of monies drawn down from the federal government by grant award as reported on the U.S. Department of Education's Grant Administration and Payment System to the State's accounting records. As of June 30, 2002, there was a \$395,215 irreconcilable variance. The Department has not investigated or resolved the variance.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the programs' cash management requirements.

To help ensure that all draws of federal monies from the U.S. Department of Education are properly recorded and accounted for, the Department should investigate and correct all differences.

02-106

CFDA No.: 10.568 Emergency Food Assistance Program (Administrative Costs), 7AZ810AZ8

CFDA No.: 10.569 Emergency Food Assistance Program (Food Commodities), 7AZ810AZ8

U.S. Department of Agriculture

Award Period: October 1, 2001 through September 30, 2002

Questioned Cost: Unknown

The Department of Economic Security, Community Services Administration (CSA) did not have adequate internal control policies and procedures to ensure compliance with subrecipient monitoring requirements. Specifically, the CSA considered the recipients of this program to be vendors rather than subrecipients. As a result, the CSA did not require subrecipients expending federal financial awards greater than or equal to \$300,000 for the fiscal year to obtain a single or program-specific audit as required by OMB Circular A-133, §.400 (d)(4). In addition, the CSA did not have a system to select subrecipients for an annual review as required by 7 CFR §251.10(e). Accordingly, the CSA did not conduct any annual reviews of its subrecipients for the year ended June 30, 2002.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a material weakness and material noncompliance with the program's subrecipient monitoring requirements.

To comply with OMB Circular A-133, §.400 (d)(4) and 7 CFR §251.10(e), the CSA should assign a program supervisor to review the vendor or subrecipient determination and develop a system to select subrecipients for an annual review.

Appendix

State of Arizona
Appendix
State of Arizona Agency Codes
Year Ended June 30, 2002

Agency Code	Agency
ADA	Department of Administration
AEA	Radiation Regulatory Agency
AFA	Office of Equal Opportunity
AGA	Office of the Attorney General
AHA	Arizona Department of Agriculture
ASA	Arizona State University
BNA	Arizona State Board of Nursing
BRA	Arizona Board of Regents
CCA	Corporation Commission
CMA	State Board of Directors for Community Colleges
DCA	State Department of Corrections
DEA	Department of Economic Security
DFA	Commission for the Deaf and the Hard of Hearing
DJA	Department of Juvenile Corrections
DTA	Department of Transportation
EDA	Department of Education
EPA	Department of Commerce
EVA	Department of Environmental Quality
GFA	Arizona Game and Fish Department
GSA	Arizona Geological Survey
GVA	Office of the Governor
HCA	Arizona Health Care Cost Containment System
HDA	Arizona Department of Housing
HSA	Department of Health Services
HUA	Arizona Commission on the Arts
ICA	Industrial Commission of Arizona
JCA	Arizona Criminal Justice Commission
LAA	Arizona State Library, Archives and Public Records
LDA	State Land Department
LLA	Department of Liquor Licenses and Control
MAA	Department of Emergency and Military Affairs
MIA	State Mine Inspector
NAA	Northern Arizona University
PEA	Commission for Postsecondary Education
PRA	Arizona State Parks Board
PSA	Department of Public Safety
SBA	Structural Pest Control Commission
SDA	Arizona State Schools for the Deaf and the Blind
SPA	The Supreme Court
UAA	University of Arizona
VSA	Department of Veterans' Services
WCA	Department of Water Resources
WFA	Water Infrastructure Finance Authority

(This Page Intentionally Left Blank)

State Corrective Action Plan

State of Arizona
Corrective Action Plan
Year Ended June 30, 2002

Financial Statement Findings

Agency: Department of Administration

The State's Information Services Division has not completed a Disaster Recovery Plan

Finding No.: 02-01

Agency description:

Over the past two years the State has been placing importance on business continuity and advances have been made to resolve the installation and implementation of a Living Disaster Recovery Plan (LDRPS). This "system" is a repository that will be used to collect all ADOA business continuity plans. The State continues the process of implementation and is anticipating completion of plans by May 13, 2003 per the Governor's executive order 2003-05.

A contract has been signed with a vendor (SunGard Recovery Services) to provide ADOA's Data Center located at 1510 W. Adams, Phoenix, AZ with a backup site. Two sites located in Philadelphia, PA and in Scottsdale, AZ will be used in case of a disaster as a backup site(s) for all computer equipment housed at the above address.

Contact person(s): Ken Caroli
(602) 542-2325

State of Arizona
Corrective Action Plan
Year Ended June 30, 2002

Federal Award Findings and Questioned Costs

Agency: Department of Health Services

CFDA No.: 93.268 Immunization Grants

Finding No.: 02-101

Status: Concur

Agency description:

The Department has relied upon independent auditor opinions that the SEFAs were: "fairly stated, in all material respects, in relation to the general purpose financial statements taken as a whole." The Department has reviewed SEFAs to determine if any misstatement could materially impact the applicable grant to the Department, or if any misstatement could cause the grant to not be properly classified as a subrecipient's major program. If either of these conditions occurred the Department would notify the subrecipient and request appropriate action. In each of the cases cited, the amount passed through to the applicable subrecipient was less than 0.2% of the Department's grant, and would not have caused the grant to be a major program for the subrecipient. Therefore, neither subrecipient was contacted.

The Department respects and values the recommendations of the Auditor General and will immediately implement procedural changes to ensure the subrecipient is notified anytime a pass through amount is omitted from its SEFA, or there appears to be an error in the CFDA number or other required information, regardless of the amount passed through.

Contact person: Jim Humble
(602) 364-0679

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 02-102

Status: Concur

Agency description:

The Department fully understands the importance of this report and will execute the necessary changes to ensure the accuracy of future reports.

State of Arizona
Corrective Action Plan
Year Ended June 30, 2002

Federal Award Findings and Questioned Costs

The School Finance Division of the Arizona Department of Education will revise current policies and procedures by July 1, 2003 to implement two levels of review prior to submission of the report as well as obtain the knowledge needed to process required data and maintain the proper supporting documentation for the initial report and all subsequent adjustments.

Contact person: Richard Scott
(602) 542-3281

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
84.027 Special Education? Grants to States
84.048 Vocational Education? Basic Grants to States
84.173 Special Education? Preschool Grants
84.340 Class Size Reduction
84.348 Title I Accountability Grants

Finding No.: 02-103

Status: Concur

Agency description:

We concur that grant sub-recipients were allowed in some instances to maintain an adjusted cash balance of up to 4%. Initially, the Arizona Department of Education implemented the allowance of a cash balance not to exceed 4% for one month for the purpose of encumbrances/requisitions/payroll.

The Arizona Department of Education will implement by July 1, 2003 a policy that allows sub-recipients to request an amount not to exceed one month's administrative expenses. Any excess of cash balance must be adjusted or returned to the Department.

Contact person: Richard Scott
(602) 542-3281

Agency: Department of Education

CFDA No.: 84.027 Special Education? Grants to States
84.173 Special Education? Preschool Grants

Finding No.: 02-104

State of Arizona
Corrective Action Plan
Year Ended June 30, 2002

Federal Award Findings and Questioned Costs

Status: Concur

Agency description:

We concur that the Department did not have adequate policies and procedures for determining maintenance of effort before awarding monies to special education subrecipients.

The Exceptional Student Services Division of Arizona Department of Education has established appropriate policies and procedures regarding maintenance-of-effort requirements for Special Education grants in place. The School Finance Division will institute policies and procedures and implement internal controls procedures by July 1, 2003 to ensure that in fiscal year 2004 the Department, prior to the award of program monies, performs the maintenance-of-effort calculations. The procedures developed will also include a method to ensure that all required data to perform the calculations is obtained by the Department.

Contact person: Richard Scott
 (602) 542-3281

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education? Grants to States
 84.048 Vocational Education? Basic Grants to States
 84.173 Special Education? Preschool Grants
 84.340 Class Size Reduction
 84.348 Title I Accountability Grants

Finding No.: 02-105

Status: Concur

Agency description:

The difference between what the U.S. Department of Education and Arizona Department of Education have in draws is primarily due to timing. Once the Arizona Department of Education draws the funds from the U.S. Department of Education, a deposit form is sent to the Treasurer's Office. When there is a delay between the date the funds were ordered and the date the deposit is released by the Treasurer's Office, it causes a variance in the report.

Inaccuracies in the agencies' internal reports have been discovered. These inaccuracies can be caused by the import data provided by the Arizona Financial Information System and have shown data being not updated or doubled.

State of Arizona
Corrective Action Plan
Year Ended June 30, 2002

Federal Award Findings and Questioned Costs

The Arizona Department of Education is modifying and reviewing reports to ensure data provided is accurate. Beginning July 1, 2003, the Accounting unit will identify and resolve all variances and include documentation with the reconciliation report.

Contact person: Richard Scott
(602) 542-3281

Agency: Department of Economic Security

CFDA No.: 10.568 Emergency Food Assistance Program (Administrative Costs)
10.569 Emergency Food Assistance Program (Food Commodities)

Finding No.: 02-106

Status: Concur

Agency description:

Beginning July 1, 2002, the Department of Economic Security, Community Services Administration (CSA) classified recipients of the Emergency Food Assistance Program (TEFAP), previously considered and treated as vendors, as subrecipients. These subrecipients will be monitored in accordance with the Department's written subrecipient monitoring policies and procedures, which include:

- requiring subrecipients expending federal financial awards greater than or equal to \$300,000 for a fiscal year to obtain a single or program-specific audit; and
- performing monitoring procedures for all subrecipients expending federal awards by means of site visits, annual reviews, etc., as determined appropriate.

Anticipated completion date – As of July 1, 2002, TEFAP recipients were classified as subrecipients, and the Department began performing monitoring procedures on these subrecipients in accordance with OMB Circular A-133, §.200 and 7 CFR §251.10(e) in fiscal year 2003.

Contact person: Nina Sutton
(602) 542-6600

State Summary Schedule of Prior Audit Findings

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: N/A – Cash Management

Finding No.: 96-105

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 99-105

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.162 Immigration Education
84.173 Special Education—Preschool Grants
84.281 Eisenhower Professional Development State Grants
84.298 Innovative Education Program Strategies

Finding No.: 99-107

Status: Partially Corrected

Agency description:

It has always been the policy of the Department that all federal monies not be deposited into a state account more than two business days before the day they are disbursed. Due to turnover in the accounting department and the lack of key supervisory positions, the Department's policy and procedures were not properly enforced.

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Effective February 2001, the Department has taken additional steps to ensure that all staff members document the disbursement day and that all draw downs are supported adequately with copies of screen prints, project need sheets, draw down sheets or claims. Unit supervisors are held accountable for each of their units and are required to periodically review and initial appropriate documents verifying funds were deposited and disbursed within the two business days required by the State of Arizona and the Secretary of the Treasury State Agreement.

Once the following procedures are further implemented, this finding will be fully corrected.

- 1.) An AFIS print screen copy or transfer form will be attached to the draw down document.
- 2.) Deposit date will be referenced to the original deposit on the AFIS 66A screen print.

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 00-101

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 84.048 Vocational Education—Basic Grants to States
84.243 Tech-Prep Education

Finding No.: 00-102

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants

Finding No.: 00-103

Status: Fully Corrected

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.213 Even Start—State Educational Agencies
84.243 Tech-Prep Education
84.276 Goals 2000—State and Local Education Systemic Improvement Grants
84.332 Comprehensive School Reform Demonstration
84.340 Class Size Reduction

Finding No.: 00-105

Status: Partially Corrected

Agency description:

It has always been the policy of the Department that all federal monies not be deposited into a state account more than two business days before the day they are disbursed. Due to turnover in the accounting department and the lack of key supervisory positions, the Department's policy and procedures were not properly enforced.

Effective February 2001, the Department has taken additional steps to ensure that all staff members document the disbursement day and that all draw downs are supported adequately with copies of screen prints, project need sheets, draw down sheets or claims. Unit supervisors are held accountable for each of their units and are required to periodically review and initial appropriate documents verifying funds were deposited and disbursed within the two business days required by the State of Arizona and the Secretary of the Treasury State Agreement.

Once the following procedures are further implemented, this finding will be fully corrected.

- 1.) An AFIS print screen copy or transfer form will be attached to the draw down document.
- 2.) Deposit date will be referenced to the original deposit on the AFIS 66A screen print.

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.213 Even Start—State Educational Agencies
84.243 Tech-Prep Education
84.276 Goals 2000—State and Local Education Systemic Improvement Grants
84.332 Comprehensive School Reform Demonstration
84.340 Class Size Reduction

Finding No.: 00-106

Status Partially Corrected

Agency description:

The Department has fully corrected the finding regarding the submission of cash management reports. The Department reviewed policy and procedures and implemented a policy that took effect on July 1, 2001 requiring all federal projects (irrespective of budget total) to submit monthly cash management reports until such time as the grant/project funding is reported by the subrecipient as fully expended. If the subrecipient subsequently discovers funds belonging to the project during the project year (a refund, for example), the subrecipient must resume reporting until the funds are again reported as fully expended, or remit the excess cash to the Department.

With respect to the excess cash portion of the finding, the Arizona Department of Education will implement by July 1, 2003 a policy that allows subrecipients to request an amount not to exceed one month's administrative expenses. Any excess of cash balance must be adjusted or returned to the Department.

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.213 Even Start—State Educational Agencies
84.243 Tech-Prep Education
84.276 Goals 2000—State and Local Education Systemic Improvement Grants
84.332 Comprehensive School Reform Demonstration
84.340 Class Size Reduction

Finding No.: 00-107

Status: Fully Corrected

Agency: Office of the Governor

CFDA No.: 16.523 Juvenile Accountability Incentive Block Grants

Finding No.: 01-101

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 01-102

Status: Fully Corrected

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants

Finding No.: 01-103

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.276 Goals 2000—State and Local Education Systemic Improvement Grants
84.340 Class Size Reduction

Finding No.: 01-104

Status: Partially Corrected

Agency description:

The Department has fully corrected the finding regarding the submission of cash management reports. The Department reviewed policy and procedures and implemented a policy that took effect on July 1, 2001 requiring all federal projects (irrespective of budget total) to submit monthly cash management reports until such time as the grant/project funding is reported by the subrecipient as fully expended. If the subrecipient subsequently discovers funds belonging to the project during the project year (a refund, for example), the subrecipient must resume reporting until the funds are again reported as fully expended, or remit the excess cash to the Department.

With respect to the excess cash portion of the finding, the Arizona Department of Education will implement by July 1, 2003 a policy that allows subrecipients to request an amount not to exceed one month's administrative expenses. Any excess of cash balance must be adjusted or returned to the Department.

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.276 Goals 2000—State and Local Education Systemic Improvement Grants
84.340 Class Size Reduction

Finding No.: 01-105

Status: Partially Corrected

Agency Description: Cash Management – Corrective Action

To eliminate Cash on Hand

DOCUMENTATION ON DRAWS

On a daily basis each grant and phase will be confirmed in the Arizona Financial Information System (AFIS). The agency fiscal service specialists will monitor assigned grants and determine their daily needs. If funds are available, AFIS screen-prints will be printed and the fiscal service specialist will indicate if the funds have been obligated by identifying the claim number or the Federal Grants Administration and Payment System (GAPS) batch number they are obligated to on the AFIS screen print.

If excess cash is on hand the fiscal service specialist handling the draw downs will use excess funds for other programs' cash needs during the daily draw down. The fiscal service specialist who is responsible for the daily draws of Federal funds will be required to document each programs needs and the transfer of excess funds from one Federal program to another Federal program by attaching AFIS screen prints and/or transfer forms to the actual draw down document. The A/R manager is responsible for reviewing and signing off on all draw downs indicating all required documentation is attached and the draw has been processed in accordance with the Cash Management Improvement Act.

Once the following procedures are further implemented, this finding will be fully corrected.

- 1.) An AFIS print screen copy or transfer form will be attached to the draw down document.
- 2.) Deposit date will be referenced to the original deposit on the AFIS 66A screen print.

The Department of Education anticipates that this finding will be fully corrected by July 1, 2003.

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.048 Vocational Education—Basic Grants to States

Finding No.: 01-106

Status: Partially Corrected

Agency Description:

Corrective Action

Increase Internal Controls

The Department's Deputy Associate Superintendent for Finance will request each Federal program office to supply copies of the specific maintenance requirements.

The Deputy Associate Superintendent for Finance's staff will insure the information is provided to the Department's legislative team, the budget office and the Legislature's educational budget analyst each legislative cycle to ensure that all parties are aware of the grants maintenance requirements and the potential impact if the State cannot meet a program's maintenance requirements.

Additional Identification Effort (Vocational Costs)

The Department incurred additional vocational rent costs for vocational staff that were not covered by State vocational grant or the Perkins grant in excess of the reduction in the Vocational budget for the program year in question.

The Department requests that the Director of the Division of Vocational Technical Education (DVTE) dismiss the maintenance finding based on the additional information provided in its December 13, 2000 correspondence outlining the additional costs.

Agency: Department of Economic Security

CFDA No.: 10.568 Emergency Food Assistance Program (Administrative Costs)
10.569 Emergency Food Assistance Program (Food Commodities)

Finding No.: 01-107

Status: Not Corrected

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2002

Status of Federal Award Findings and Questioned Costs

Corrective action – Beginning July 1, 2002, the Department of Economic Security, Community Service Administration (CSA) will classify recipients of the Emergency Food Assistance Program, previously considered and treated as vendors, as subrecipients. These subrecipients will be monitored in accordance with the Department's written subrecipient monitoring policies and procedures, which include:

- requiring subrecipients expending federal financial awards greater than or equal to \$300,000 for a fiscal year to obtain a single or program-specific audit; and
- performing monitoring procedures for all subrecipients expending federal awards by means of site visits, annual reviews, etc., as determined appropriate.

Agency: Department of Economic Security

CFDA No.: 93.558 Temporary Assistance for Needy Families

Finding No.: 01-108

Status: No Longer Valid

In a letter from the U.S. Department of Health and Human Services, Administration for Children and Families, Region IX, to the Arizona State Comptroller dated December 11, 2002, Sharon Fujii, Regional Administrator, indicated the following with regard to the Wheels-to-Work audit finding:

- The State of Arizona has demonstrated to the Regional Office that TANF regulations were implemented properly.
- Corrective action procedures have been implemented and will continue to ensure that all costs are necessary and reasonable for proper performance and administration of the program.
- The Wheels-to-Work Program to which the questioned costs were related has been phased out since June 2002.
- There are no questioned costs to be determined.

Agency: Department of Economic Security

CFDA No.: 93.658 Foster Care—Title IV-E

Finding No.: 01-109

Status: Fully Corrected